

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 11  
 For use by Members, officers, and employees

Gregory Paul Walden  
 (Full Name)

202.225.6730  
 (Daytime Telephone)

LEGISLATIVE RESOURCE CENTER

2011 MAY 16 PM 4:03

U.S. HOUSE OF REPRESENTATIVES

**HAND DELIVERED**

(Office Use Only)

**Filer Status**

☒ Member of the U.S. House of Representatives  
 State: OR  
 District: 2

☐ Officer Or Employee

Employing Office:

**Report Type**

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p>I. Did you or your spouse have "earned" income (a.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                  If yes, complete and attach Schedule I.</p>	<p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                  If yes, complete and attach Schedule VI.</p>
<p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                  If yes, complete and attach Schedule II.</p>	<p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                  If yes, complete and attach Schedule VII.</p>
<p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                  If yes, complete and attach Schedule III.</p>	<p>VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                  If yes, complete and attach Schedule VIII.</p>
<p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                  If yes, complete and attach Schedule IV.</p>	<p>IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                  If yes, complete and attach Schedule IX.</p>
<p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                  If yes, complete and attach Schedule V.</p>	<p>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</p>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>Trusts--</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of each a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name Gregory Paul Walden

Page 2 of 11

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Columbia Gorge Broadcasters	Spouse Salary	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gregory Paul Walden

Page 3 of 11

BLOCK A <b>Asset and/or Income Source</b> Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or		BLOCK B <b>Year-End Value of Asset</b> at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C <b>Type of Income</b> Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D <b>Amount of Income</b> For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	BLOCK E <b>Transaction</b> Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
JT	American Funds Investment Company of America	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	American Funds Investment Company of America-IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	American Funds Investment Company of America-IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Columbia Gorge Broadcasters	\$250,001 - \$500,000	None	NONE	
	Columbia Gorge Community College Bond	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
JT/DC	Columbia State Bank (formerly Columbia River Bank)-various accounts	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Gregory Paul Walden

Page 4 of 11

	Growth Fund of America-IRA	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
SP	Growth Fund of America-IRA	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
JT	Home lot: 2909 Hidden Valley Dr., Lake Havasu City, AZ	\$50,001 - \$100,000	None	NONE	
JT	MSW Communications, LLC Hood River, OR	\$500,001 - \$1,000,000	RENT	\$5,001 - \$15,000	
SP	Oregon State General Baccalaureate Bond	None	INTEREST	\$201 - \$1,000	S
	State of Oregon PERS	\$15,001 - \$50,000	None	NONE	
SP	American Funds-New Perspective Fund CLA	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
	American Funds-New Perspective Fund CLA	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
	Fidelity Tax-free money market (asset classified under Gregory Paul Walden Revocable Living Trust)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SP	Fidelity Tax-free money market (asset classified under Mylene Ann Simons Walden Revocable Living Trust)	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
	CD-GE Money Bank (administered by Prime Vest; asset classified under Gregory Paul Walden Revocable Living Trust; purchased with proceeds from Prime Vest money market listed on 2009 FD)	\$50,001 - \$100,000	None	NONE	P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Gregory Paul Walden

Page 5 of 11

SP	CD-Pinnacle Bank (administered by Prive Vest; asset classified under Mylene Ann Simons Walden Revocable Living Trust)	None	INTEREST	\$1,001 - \$2,500	S
SP	CD-GE Money Bank (administered by Prime Vest; asset classified under Mylene Ann Simons Walden Revocable Living Trust (proceeds from CD-Pinnacle Bank matured 7.29.10 rolled into his CD)	\$50,001 - \$100,000	None	NONE	P
DC	Oregon 529 Fund money market (administered by Oppenheimer Funds)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Cascade Central Credit Union money market (asset classified under Gregory Paul Walden Revocable Living Trust)	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	
	Cascade Central Credit Union money market (asset classified under Gregory Paul Walden Revocable Living Trust)	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	
JT	Cascade Central Credit Union money market	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
SP	Cascade Central Credit Union IRA	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
	Cascade Central Credit Union IRA	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
JT	Congressional Federal Credit Union-various accounts	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Gregory Paul Walden

Page 6 of 11

SP	Portland, OR Sewer System First Lien Series A Refunding Revenue Bond (asset classified under Mylene Ann Simons Walden Revocable Living Trust)	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	Pfizer, Inc Stock (asset classified under Mylene Ann Simons Walden Revocable Living Trust)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Procter & Gamble, Inc Stock (asset classified under Mylene Ann Simons Walden Revocable Living Trust)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Portland, OR Sewer System First Lien Series A Refunding Revenue Bond (asset classified under Gregory Paul Walden Revocable Living Trust)	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	Bank of America Stock (asset classified under Gregory Paul Walden Revocable Living Trust)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	General Electric Stock (asset classified under Gregory Paul Walden Revocable Living Trust)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	US Bancorp Stock (asset classified under Gregory Paul Walden Revocable Living Trust)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Wells Fargo checking account (changed from SP to JT status in 2010)	\$1,001 - \$15,000	INTEREST	less than a dollar	
JT	Tower Property (land), 1190 22nd St, Hood River	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	

# SCHEDULE IV - TRANSACTIONS

Name Gregory Paul Walden

Page 7 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Oregon State General Baccalaureate Bond	S	No	8-1-10	\$15,001 - \$50,000
SP	CD-Pinnacle Bank (administered by Prime Vest; asset classified under Mylene Ann Simons Walden Revocable Living Trust)	S	No	7-29-10	\$15,001 - \$50,000
SP	CD-GE Money Bank (administered by Prime Vest; asset classified under Mylene Ann Simons Walden Revocable Living Trust (proceeds from CD-Pinnacle Bank matured 7.29.10 rolled into his CD)	P	N/A	10-8-10	\$50,001 - \$100,000
	CD-GE Money Bank (administered by Prime Vest; asset classified under Gregory Paul Walden Revocable Living Trust; purchased with proceeds from Prime Vest money market listed on 2009 FD)	P	N/A	10-8-10	\$50,001 - \$100,000
SP	Cascade Central Credit Union IRA	P	N/A	10-18-10	\$1,001 - \$15,000
	Cascade Central Credit Union IRA	P	N/A	10-18-10	\$1,001 - \$15,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Gregory Paul Walden

Page 8 of 11

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute	April 2-12	Portland, OR-Lisbon, Portugal-Wash, DC	Y	Y	Y	4



## SCHEDULE VIII - POSITIONS

Name Gregory Paul Walden

Page 9 of 11

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Columbia Gorge Broadcasters
Member	MSW Communications, LLC
Volunteer	Wake Forest University Parents' Council

## SCHEDULE IX - AGREEMENTS

Name Gregory Paul Walden

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
Jan. 1997	Greg Walden and State of Oregon	participant in defined benefit plan

## FOOTNOTES

Name Gregory Paul Walden

Page 11 of 11

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	<p>The following three stocks listed on the 2009 Financial Disclosure were sold in 2010 but not listed on this report as they do not meet the reporting threshold:</p> <ul style="list-style-type: none"><li>1) JT-Columbia Bancorp Stock</li><li>2) SP-Columbia Bancorp Stock-IRA</li><li>3) Columbia Bancorp Stock-IRA</li></ul>	Columbia Bancorp Stock listings on 2009 report

<b>UNITED STATES HOUSE OF REPRESENTATIVES</b> <b>CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT</b>		<b>FORM A</b> For use by Members, officers, and employees	Page 1 of 1 <b>POSTMARK ILLEGIBLE</b> CONSTITUTIVE RESOURCE CENTER <b>2011 MAY 24 AM 11:17</b> (Office Use Only) U.S. HOUSE OF REPRESENTATIVES
Earl Blumenauer (Full Name)		202-225-4811 (Daytime Telephone)	
<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representative State: <u>OR</u> District: <u>3</u>	<input type="checkbox"/> Officer Or Employee Employing Office: _____	<b>A \$200 penalty shall be assessed against anyone who files more than 30 days late.</b>
<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 15) <input type="checkbox"/> Amendment <input type="checkbox"/> Termination		
			Termination Date: _____

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>I.</b> Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I.	<b>VI.</b> Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.
<b>II.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.	<b>VII.</b> Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VII.
<b>III.</b> Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.	<b>VIII.</b> Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VIII.
<b>IV.</b> Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV.	<b>IX.</b> Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX.
<b>V.</b> Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule V.	<b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>Trusts--</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name Earl Blumenauer

Page 2 of 11

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Public Employees Retirement System, Oregon	Pension	\$36,414
Northwest Natural Gas	Spouse Salary	N/A

### SCHEDULE III - ASSETS AND "UNEARNED" INCOME

**Name** Earl Blumenauer

Page 3 of 11

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during this year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residences, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, this value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP	Ariel Growth Fund- retirement	\$15,001 - \$50,000	None	NONE	
	Campbell-Nob Hill NW 23rd and Hoyt Portland, OR	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
SP	Cohen & Steers Realty Share-retirement	\$15,001 - \$50,000	None	NONE	
	Congressional Credit Union	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	
SP	DFA US Large Cap Value - retirement	\$15,001 - \$50,000	None	NONE	
	Domini Social Bond Fund - retirement	\$100,001 - \$250,000	None	NONE	S(part)

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Earl Blumenauer

Page 4 of 11

	Duplex 510 6th St. SE Washington, DC	\$500,001 - \$1,000,000	RENT	\$15,001 - \$50,000	
SP	Europacific Growth Fund - retirement	\$1,001 - \$15,000	None	NONE	
	Federal Thrift Savings Plan	\$250,001 - \$500,000	None	NONE	
	Glisan Street Apts 1701 NW Glisan Portland, OR	\$15,001 - \$50,000	RENT	\$2,501 - \$5,000	
SP	Ishares KLD Select Social Fund - retirement	\$50,001 - \$100,000	None	NONE	
	Ishares TR S&P Latin America	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	Ishares TR S&P Latin America - retirement	\$50,001 - \$100,000	None	NONE	P
	Loan to Overlook Heights LLC Portland, OR	None	INTEREST	\$5,001 - \$15,000	
	Nordel TIC (previously Nordel- Nordel) 2166 NW Irving	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
	Northwest Natural Gas	None	DIVIDENDS/CAPIT AL GAINS	\$1,001 - \$2,500	S
SP	Northwest Natural Gas - retirement	\$50,001 - \$100,000	None	NONE	
SP	Northwest Natural Gas Company - Common Stock (part of retirement and compensation package)	\$250,001 - \$500,000	None	NA	
	OnPoint Community Credit Union	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Earl Blumenauer

Page 5 of 11

SP	Oregon College Savings Plan for DC2 1-3 Years to College Portfolio	\$50,001 - \$100,000	NA	NA	
	Parnessus Equity Income	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	Parnessus Equity Income- retirement	\$50,001 - \$100,000	None	NONE	P
SP	Pax World High Yield Fund - retirement	\$15,001 - \$50,000	None	NONE	
	Pax World High Yield Fund- retirement	\$50,001 - \$100,000	None	NONE	
	Pettygrove House NW 23rd and Pettygrove Portland, OR	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
SP	PIMCO Foreign Bond Fund - retirement	\$100,001 - \$250,000	None	NONE	
	PIMCO Foreign Bond Fund- retirement	\$100,001 - \$250,000	None	NONE	
	PIMCO Total Return Fund- retirement	\$250,001 - \$500,000	None	NONE	S(part)
SP	PIMCO Total Return III Fund - retirement	\$250,001 - \$500,000	None	NONE	
	Portfolio 21	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	Portfolio 21 - retirement	\$100,001 - \$250,000	None	NONE	
	Portfolio 21- retirement	\$1,001 - \$15,000	None	NONE	P



**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Earl Blumenauer

Page 6 of 11

	Raleigh Fireside 5557 SW Scholls Ferry Portland, OR	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	
SP	Rydex SGI Mgd Futures - retirement	\$15,001 - \$50,000	None	NONE	
	Rydex SGI Mgd Futures- retirement	\$15,001 - \$50,000	None	NONE	S(part)
	Schwab 1000 Index Fund	None	None	NONE	PS
	Schwab 1000 Index Fund - retirement	None	None	NONE	PS
SP	Schwab Money Market - retirement	\$1,001 - \$15,000	None	NONE	
	Schwab Money Market- retirement	\$1 - \$1,000	None	NONE	
	Scout Intl Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	Scout Intl Fund - retirement	\$15,001 - \$50,000	None	NONE	P
SP	SEI Emerging Markets Debt - retirement	\$50,001 - \$100,000	None	NONE	
	SEI Emerging Markets Debt- retirement	\$50,001 - \$100,000	None	NONE	
SP	SEI Emerging Markets Equity - retirement	\$50,001 - \$100,000	None	NONE	
SP	T. Rowe Price Retirement 2020 - retirement	\$100,001 - \$250,000	None	NONE	
	Townhouse (1/2 interest) 2441 NE Weidler	\$100,001 - \$250,000	None	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Earl Blumenauer

Page 7 of 11

SP	Vacation House (1/2 interest) Deer Isle, Maine	\$100,001 - \$250,000	None	NONE	
SP	Vanguard Short Term Inv. Grade - retirement	\$50,001 - \$100,000	None	NONE	
	Vanguard Short Term Inv. Grade - retirement	\$50,001 - \$100,000	None	NONE	
	Westcott LLC 1701 NW Glisan Portland, OR	\$15,001 - \$50,000	RENT	\$1,001 - \$2,500	P
SP	Winslow Green Growth Investor - retirement	\$1,001 - \$15,000	None	NONE	

# SCHEDULE IV - TRANSACTIONS

Name Earl Blumenauer

Page 8 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Domini Social Bond Fund - retirement	S(part)	No	11/12/2010	\$50,001 - \$100,000
	Ishares TR S&P Latin America - purchase and dividend reinvestment	P	N/A	11/15/2010 12/31/2010	\$1,001 - \$15,000
	Ishares TR S&P Latin America - retirement	P	N/A	10/29/10 11/15/10	\$15,001 - \$50,000
	Northwest Natural Gas	S	Yes	12/28/10	\$1,001 - \$15,000
	Parnessus Equity Income - purchase and dividend reinvestment	P	N/A	11/2/2010 12/29/10	\$1,001 - \$15,000
	Parnessus Equity Income- retirement	P	N/A	11/2/10 11/15/10	\$50,001 - \$100,000
	PIMCO Total Return Fund- retirement	S(part)	No	10/28/10	\$15,001 - \$50,000
	Portfolio 21- purchase and dividend reinvestment	P	N/A	4/20/10 12/21/10	\$1,001 - \$15,000
	Portfolio 21- retirement	P	N/A	11/16/10	\$1,001 - \$15,000
	Rydex SGI Mgd Futures- retirement	S(part)	No	10/28/10	\$50,001 - \$100,000
	Schwab 1000 Index Fund	S	No	11/12/10	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name Earl Blumenauer

Page 9 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Schwab 1000 Index Fund	P	N/A	5/21/10	\$1,001 - \$15,000
	Schwab 1000 Index Fund - retirement	S	No	10/28/10	\$1,001 - \$15,000
	Schwab 1000 Index Fund - retirement	P	N/A	9/3/10	\$1,001 - \$15,000
	Scout Intl Fund - purchase and dividend reinvestment	P	N/A	11/15/10 12/17/10	\$1,001 - \$15,000
	Scout Intl Fund - retirement	P	N/A	10/29/10	\$15,001 - \$50,000
	Westcott LLC 1701 NW Glisan Portland, OR	P	N/A	1/1/2010	\$15,001 - \$50,000

# SCHEDULE V - LIABILITIES

Name Earl Blumeneuer

Page 10 of 11

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobile, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	M & T Realty Buffalo, NY	February, 2004	Mortgage Glisan Townhouses 1701 NW Glisan Portland, OR	\$15,001 - \$50,000
	M & T Realty Buffalo, NY	January 2010	Mortgage Glisan Townhouses 1701 NW Glisan Portland, OR	\$15,001 - \$50,000
	Key Bank Portland, OR	March, 2004	Mortgage Campbell-Nob Hill NW 23rd and Hoyt Portland, OR	\$100,001 - \$250,000
	First Independent Bank Vancouver, WA	September, 2007	Mortgage Pettygrove House NW 23rd and Pettygrove Portland, OR	\$100,001 - \$250,000
	Chase	July, 2007	Mortgage Nordel TIC 2166 NW Irving Portland, OR	\$50,001 - \$100,000
	Chase	April, 2008	Mortgage Raleigh Fireside 5557 SW Scholls Ferry Portland, OR	\$50,001 - \$100,000
	Ruth Sprinkling	July, 2004	Mortgage 2441 NE Weidler Portland, OR	\$100,001 - \$250,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Earl Blumenauer

Page 11 of 11

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute	April 2-11	Portland, Or-Lisbon, Portugal- Washington, DC	Y	Y	Y	3
Aspen Institute	May 29- June 6	Washington, DC- Tunis, Tunisia-Washington, DC	Y	Y	Y	3

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1  
For use by Members, officers, and employees

**HAND DELIVERED**

Peter A. DeFazio  
(Full Name)

202-225-6416  
(Daytime Telephone)

LEGISLATIVE RESOURCE CENTER

2011 MAY -9 AM 10:57

(Office Use Only)  
U.S. HOUSE OF REPRESENTATIVES

**Filer Status**

☒ Member of the U.S. House of Representatives  
State: OR  
District: 04

☐ Officer Or Employee  
Employing Office:

**Report Type**

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS**

<p><b>I.</b> Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?  Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes, complete and attach Schedule I.</p>	<p><b>VI.</b> Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?  Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>  If yes, complete and attach Schedule VI.</p>
<p><b>II.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?  Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>  If yes, complete and attach Schedule II.</p>	<p><b>VII.</b> Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?  Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>  If yes, complete and attach Schedule VII.</p>
<p><b>III.</b> Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?  Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes, complete and attach Schedule III.</p>	<p><b>VIII.</b> Did you hold any reportable positions on or before the date of filing in the current calendar year?  Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>  If yes, complete and attach Schedule VIII.</p>
<p><b>IV.</b> Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?  Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes, complete and attach Schedule IV.</p>	<p><b>IX.</b> Did you have any reportable agreement or arrangement with an outside entity?  Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>  If yes, complete and attach Schedule IX.</p>
<p><b>V.</b> Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?  Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes, complete and attach Schedule V.</p>	<p><b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b></p>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS**

<p><b>Trusts--</b></p>	<p>Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p><b>Exemptions--</b></p>	<p>Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>

**SCHEDULE I - EARNED INCOME**

Name Peter A. DeFazio

Page 2 of 7

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
City of Eugene Oegon	spouse salary	n/a
US Government	self salary	\$174,000



**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Peter A. DeFazio

Page 3 of 7

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (e) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
self	SELCO credit union	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
self	Wright Patman FCU	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
self	Cape Cod 5 Cents Savings Bank	None	INTEREST	\$1 - \$200	
self	Oakmark Select Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	monthly P
self	Bank of New Zealand	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
self	ASB bank	None	INTEREST	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Peter A. DeFazio

Page 4 of 7

self	ira Schnitzer Steel	\$1,001 - \$15,000	None	NONE	
self	ira Antigenics inc	\$1 - \$1,000	None	NONE	
self	TSP	\$500,001 - \$1,000,000	n/a	n/a	
JT	residence Springfield Or	\$250,001 - \$500,000	None	NONE	
JT	53880 Pine Grove Lapine Oregon 1/2 share	\$50,001 - \$100,000	None	NONE	
JT	rental 335 Anakiwa Drive Anakiwa NZ	\$250,001 - \$500,000	RENT	\$5,001 - \$15,000	
JT	36 Hempleman drive Akaroa NZ 1/4 share rental property	\$100,001 - \$250,000	RENT	\$2,501 - \$5,000	
SP	Pacific Cascade FCU	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	ira Oakmark Equity Income	\$15,001 - \$50,000	n/a	n/a	
SP	Sentinel Balanced Fund	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S(part)
SP	Bank of New Zealand	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
SP	ING deferred comp - Templeton Global Bond Fund	\$1,001 - \$15,000	DIVIDENDS deferred comp	\$1 - \$200	
SP	ING deferred comp - PIMCO total return	\$15,001 - \$50,000	None	NONE	
SP	ING deferred comp- Fidelity Contra Fund	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	S(part)

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Peter A. DeFazio

Page 5 of 7

SP	ING deferred comp Euro Pacific Growth	\$15,001 - \$50,000	CAPITAL GAINS/DIVIDENDS	\$1,001 - \$2,500	
SP	ING Deferred comp Fixed	\$250,001 - \$500,000	INTEREST	\$2,501 - \$5,000	purchased with proceeds of contra fund sale

**SCHEDULE IV - TRANSACTIONS**

Name Peter A. DeFazio

Page 6 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
SP	ING Deferred comp Fixed	P	N/A	10/11/10	\$1,001 - \$15,000
SP	ING deferred comp- Fidelity Contra Fund	S(part)	No	10/11/10	\$1,001 - \$15,000
self	Oakmark Select Fund	P	N/A	monthly	\$1,001 - \$15,000
SP	Sentinel Balanced Fund	S(part)	Yes	6/08/10	\$1,001 - \$15,000

**SCHEDULE V - LIABILITIES**

Name Peter A. DeFazio

Page 7 of 7

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Wells Fargo	4/1/10	refi of mortgage 53880 Pine Grove LaPine Oregon	\$50,001 - \$100,000
JT	Bank of New Zealand		1/4 interest mortgage 36 Hempleman Akaroa NZ	\$15,001 - \$50,000
JT	Bank of New Zealand		mortgage 335 Anakiwa Anakiwa NZ	\$50,001 - \$100,000
JT	Wells Fargo		mortgage residence springfield Or	\$100,001 - \$250,000

UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

Form A  
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

MC 2011 MAY 16 AM 11:13  
(Office Use Only)

Name: W. Kurt Schrader

Daytime Telephone: 202-225-5711

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>Oregon</u>	<input type="checkbox"/> Officer or Employee	Employing Office:
Report Type	<input checked="" type="checkbox"/> Annual (May 16, 2011)	District: <u>5</u>	<input type="checkbox"/> Amendment	Termination Date:
			<input type="checkbox"/> Termination	

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I—EARNED INCOME**

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

**Exclude:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

[illegible]

**For payments to charity in lieu of honoraria, use Schedule II.**

**SCHEDULE II—PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

[illegible]



Name W Kurt Schwader

Page 7 of 12

**For additional assets and unearned income, use next page.**

## Continuation Sheet (if needed)

Name W. Kurt Schwader

Page 5 of 13

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# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name

W. Kurt Schrader

Page

6 of 13

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A	B	C	D	E	F	G	H	I	J	K	L							I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, E	
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/IND TRUST	Other Type of Income (Specify: e.g. Partnership Income or Farm Income)	None	\$1 - \$250	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000		Over \$5,000,000
	Dryden Gov Sec Trust Sec A	X																														
	Baron Growth Fund			X																												
	GTE Fds Pncn Gr Eq AMF			X																												
	Perkins MidCap Val Fd CIT			X																												
	MFS Sec Tol Val Fd CIA			X																												
	Jennison 2470 Focus Fd CIZ				X																											
	Jennison Midcap Gr Fd CIZ			X																												
	Target Sm Cap Val Port			X																												
	Target Total Return				X																											
	Thornburg Int Val Fd CIA				X																											
	Pioneer MidCap Val Fd CIA	X																														
	Oppenheimer Int Bond CIA	X																														

**Continuation Sheet (if needed)**

Page 7 of 15

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**Continuation Sheet (if needed)**

Name \_\_\_\_\_

Name W. Kurt Schneider

Page 0 of 1

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**Continuation Sheet (if needed)**

W. Kurt Schrade.

Page 9 of 13

[illegible]

# SCHEDULE IV— TRANSACTIONS

Name

W. Kurt Schrade

Page 10 of 13

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

SP, DC, JT

Asset

SP

Example:

Mega Corporation Common Stock (partial sale)

SALE

X

Check Box if Capital Gain Exceeded \$200

Date

(MO/DAY/YR)  
or  
Quarterly,  
Monthly, or  
Bi-weekly, if  
applicable

Amount of Transaction

A

\$5,001-\$10,000

X

B

\$100,001-\$250,000

C

\$1,001-\$10,000

D

\$10,001-\$50,000

E

\$50,001-\$100,000

F

\$100,001-\$500,000

G

\$500,001-\$1,000,000

H

Over \$1,000,000

I

Over \$1,000,000

See Oppenheimer Value Fd annual statement attached

See Prudential (PrudCo) Statement

Jan-Dec 2010 for Transactions

Info for fund Dryden Gov

thru Oppenheimer Int Bulldog

See Bessemer Trust for Transactions

Info starting with Fed Trust

thru Dow Chemical

& realized cap gains/loss



# SCHEDULE V— LIABILITIES

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor		Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability									
					A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000
	Example:	First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X						
	N.A.													

# SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year.

**Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold.

**Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	\$345
N.A.		



## SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

**Exclude:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

[illegible]

**SCHEDULE VIII—POSITIONS**

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Director	Children's Center of Clackamas County
Owner	Three Rivers Farm

**SCHEDULE IX—AGREEMENTS**

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA.	terminated CWC ownership/buy-sell + rollover pension to individual IRA



**OppenheimerFunds**  
The Right Way to Invest

## Annual Statement

Statement Period: January 01, 2010 - December 31, 2010

Page 1 of 3

Your Financial Advisor:

PPSS TR SIMPLE IRA  
CLACKAMAS COUNTY VETERINARY CL  
FBO WALTER K SCHRADER

### Total Account Value

Market Value on December 31, 2010

\$0.00



Visit us online at [www.oppenheimerfunds.com](http://www.oppenheimerfunds.com)



24-hour automated service: 1-800-CALL-OPP (225-5677)

## Oppenheimer Portfolio Series: Active Allocation Fund Class A

Value on December 31, 2010

\$0.00

Account Number  
Account Registration

PPSS TR SIMPLE IRA CLACKAMAS COUNTY  
VETERINARY CL FBO WALTER K SCHRADER

Tax ID Number

00AAX

Fund Symbol  
Fund Category

Asset Allocation

### Year-to-Date Transaction Detail

Transaction Date	Transaction Description	Dollar Amount	Share Price	Number of Shares	Ending Share Balance
01/28/10	Purchase (Employee Contribution)	\$52.85	\$8.75		
01/28/10	Sales Charge at 5.72%				
01/28/10	Purchase (Employer Contribution)	\$30.09	\$8.75		
01/26/10	Sales Charge at 5.72%				
02/26/10	Purchase (Employee Contribution)	\$53.10	\$8.86		
02/26/10	Sales Charge at 5.76%				
02/26/10	Purchase (Employer Contribution)	\$30.34	\$8.86		
02/26/10	Sales Charge at 5.77%				
04/14/10	Purchase (Employee Contribution)	\$54.70	\$9.80		
04/14/10	Sales Charge at 5.72%				
04/14/10	Purchase (Employer Contribution)	\$31.25	\$9.60		
04/14/10	Sales Charge at 5.73%				
04/27/10	Purchase (Employee Contribution)	\$58.58	\$9.42		
04/27/10	Sales Charge at 5.72%				
04/27/10	Purchase (Employer Contribution)	\$33.48	\$9.42		
04/27/10	Sales Charge at 5.74%				
05/16/10	Purchase (Employee Contribution)	\$63.82	\$8.97		
05/16/10	Sales Charge at 5.80%				
05/16/10	Purchase (Employer Contribution)	\$38.47	\$8.97		
05/16/10	Sales Charge at 5.79%				
07/13/10	Purchase (Employee Contribution)	\$55.12	\$8.99		
07/13/10	Sales Charge at 5.79%				
07/13/10	Purchase (Employer Contribution)	\$31.50	\$8.99		
07/13/10	Sales Charge at 5.78%				
07/13/10	Purchase (Employee Contribution)	\$104.18	\$8.99		
07/13/10	Sales Charge at 5.79%				
07/13/10	Purchase (Employer Contribution)	\$58.62	\$8.99		
07/13/10	Sales Charge at 5.78%				
07/30/10	Redemption (Non-Reportable)	\$6,804.48	\$8.58		
07/30/10	2010 Annual Fee	\$16.00			



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## Annual Statement

Statement Period: January 01, 2010 - December 31, 2010

Page 2 of 3

### Year-to-Date Account Summary

Date	Market Value	Additions	Withdrawals	Change in Value/Earnings	Market Value on December 31, 2010
01/01/10	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

### Retirement Plan Contribution Summary

#### 2010 Tax Year Contribution

Tax ID Number Retirement Plan Type

XXX-XX-8845 Simple  
Employer  
Employee

### OppenheimerFunds News

\*\*\*IMPORTANT\*\*\* Please retain this 2010 annual account statement for your records. You will generally not receive a Form 1099-DIV if you have a retirement account, or if your account earned less than \$10.00 in dividends in 2010.

The Fair Market Value of your IRA can be found under 'Market Value'. If you have multiple accounts, the 'Market Value' section can be found under 'OppenheimerFunds Account Detail'. The Trustee of your IRA is OFI Trust Company, c/o OppenheimerFunds Services, P.O. Box 5270, Denver, CO 80217-5270. We are required to furnish this information to the IRS.

Looking to rebuild or diversify your portfolio? Visit [www.oppenheimerfunds.com](http://www.oppenheimerfunds.com), Keyword: Morningstar to view a list of our 4- and 5- Star Morningstar Funds.

W KURT SCHRADER (IRA)  
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[REDACTED]

## Activity detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
01/01				BEGINNING BALANCE			[REDACTED]
01/04	Cash	SHRT TRM GAIN		OPPENHEIMER INTL BOND FUND CL A [REDACTED] AS OF 12/31/09		21.81	
01/04	Cash	DIVIDEND		TARGET TOTAL RETURN FD [REDACTED] AS OF 12/31/09		16.22	
01/04	Cash	REINVEST DIV	3.41300	OPPENHEIMER INTL BOND FUND CL A	6.3900	-21.81	
01/04	Cash	REINVEST DIV	1.52200	TARGET TOTAL RETURN FD	10.6600	-16.22	[REDACTED]
01/12	Cash	DIVIDEND		TARGET TOTAL RETURN FD [REDACTED] AS OF 1/11/10		0.04	
01/12	Cash	REINVEST DIV	0.00400	TARGET TOTAL RETURN FD	10.6600	-0.04	[REDACTED]
01/22	Cash	DIVIDEND		TARGET TOTAL RETURN FD [REDACTED] AS OF 1/21/10		29.33	
01/22	Cash	DIVIDEND		DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A [REDACTED]		0.10	
01/22	Cash	REINVEST DIV	2.70600	TARGET TOTAL RETURN FD	10.8400	-29.33	[REDACTED]
01/25	Cash	SALE	- 0.36600	BARON FUNDS GROWTH FUND	40.7700	14.91	
01/25	Cash	SALE	- 1.15400	GE FDS PREMIER GROWTH EQ FD-A	19.0400	21.98	
01/25	Cash	SALE	- 1.28800	MFS SER TR I VALUE FUND CL A	20.5300	26.44	
01/25	Cash	SALE	- 1.47500	OPPENHEIMER INTL BOND FUND CL A	6.4200	9.47	
01/25	Cash	SALE	- 0.54000	PIONEER MID-CAP VALUE FUND CL A	18.0500	9.74	
01/25	Cash	SALE	- 1.02100	TARGET SMALL CAP VALUE PORT	16.7900	17.15	
01/25	Cash	SALE	- 4.25000	TARGET TOTAL RETURN FD	10.8200	45.98	

[REDACTED]



W KURT SCHRADER (IRA)  
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[REDACTED]

# Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
01/25	Cash	SALE	- 1.59800	THORNBURG INTL VALUE INTERNATIONAL VALUE FUND CLASS A	24.2400	38.73	[REDACTED]
01/26	Cash	SALE	- 3.45700	JENNISON 20/20 FOCUS FD CL Z	14.7900	51.13	
01/26	Cash	SALE	- 0.42400	JENNISON FUNDS MID-CAP GROWTH FUND CL Z	23.1700	9.83	
01/26	Cash	WRAP FEE		PRUCHOICE QTR FEE		-245.36	[REDACTED]

W KURT SCHRADER (IRA)  
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### Activity detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
02/01				BEGINNING BALANCE			
02/01	Cash	DIVIDEND		OPPENHEIMER INTL BOND FUND CL A		9.95	
02/01	Cash	REINVEST DIV	1.56900	OPPENHEIMER INTL BOND FUND CL A	6.3400	-9.95	



W KURT SCHRADER (IRA)  
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Page 7 of 8

FEBRUARY 1 - FEBRUARY 23, 2010

### Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
02/11	Cash	SALE	- 173.36700	PIONEER MID-CAP VALUE FUND CL A	17.6400	3,058.19	
02/12	Cash	PURCHASE	156.67000	PERKINS MID CAP VALUE FD INV SHS	19.5200	-3,058.19	
02/16	Cash	NAME CHANGE	1,109.32500	PRUDENTIAL JENNISON 20/20 FOCUS FUND CL Z			
02/16	Cash	NAME CHANGE	136.49200	PRUDENTIAL JENNISON MID-CAP GROWTH FND CL Z			
02/16	Cash	NAME CHANGE	- 1,109.32500	JENNISON 20/20 FOCUS XXX FD CL Z			
02/16	Cash	NAME CHANGE	- 136.49200	JENNISON FUNDS XXX MID-CAP GROWTH FUND CL Z			
02/18	Cash	PURCHASE	0.87400	BARON FUNDS GROWTH FUND	41.1300	-35.95	
02/18	Cash	PURCHASE	2.80900	GE FDS PREMIER GROWTH EQ FD-A	19.2000	-53.93	
02/18	Cash	PURCHASE	1.19800	PERKINS MID CAP VALUE FD INV SHS CLASS T	20.0000	-23.96	
02/18	Cash	PURCHASE	3.18900	MFS SER TR I VALUE FUND CL A	20.6700	-65.92	
02/18	Cash	PURCHASE	3.78700	OPPENHEIMER INTL BOND FUND CL A	6.3300	-23.97	
02/18	Cash	PURCHASE	8.09800	PRUDENTIAL JENNISON 20/20 FOCUS FUND CL Z	14.8000	-119.85	
02/18	Cash	PURCHASE	1.01100	PRUDENTIAL JENNISON MID-CAP GROWTH FND CL Z	23.7000	-23.97	
02/18	Cash	PURCHASE	2.46600	TARGET SMALL CAP VALUE PORT	17.0100	-41.95	
02/18	Cash	PURCHASE	10.60100	TARGET TOTAL RETURN FD	10.7400	-113.85	
02/18	Cash	PURCHASE	4.00500	THORNBURG INTL VALUE INTERNATIONAL VALUE FUND CLASS A	23.9400	-95.88	



W KURT SCHRADER (IRA)  
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FEBRUARY 1, FEBRUARY 25, 2010

## Activity detail continued

DATE	ACCDUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
02/22	Cash	DIVIDEND		TARGET TOTAL RETURN FD		44.00	
02/22	Cash	REINVEST DIV	4.09700	TARGET TOTAL RETURN FD	10.7400	-44.00	
02/23	Cash	DIVIDEND		DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A		0.03	

## Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
02/01		BEGINNING BALANCE		02/23	REINVST DIV/INT	DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A	0.0
02/19	TRANSFER FROM	DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A	-455.41	02/28		ENDING BALANCE	
02/22	TRANSFER FROM	DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A	-143.82				

## Specific instructions and disclosures

### About this statement

### About your rights and responsibilities

### IRA withholding notice

Form W-4P/OMB No. 1454-0415

The withdrawals you receive from your IRA (except Education IRA) are subject to Federal income tax withholding unless you elect not to have withholding apply. If you have a periodic, or an "on demand" distribution, your election regarding our withholding of Federal income tax on your behalf stays in effect until you change it. You may change or revoke your election at any time and as often as you wish by completing a new election form. If you elect check writing privileges, you will also have previously elected to have no withholding on your withdrawals. If you decide to have taxes withheld you will not be eligible for check writing from your IRA. If you elect not to have taxes withheld you will be liable for payment of all taxes due on the taxable portion of your distribution and you may be responsible for payment of estimated tax. You may be subject to tax penalties under the estimated tax payment rules if your payments of estimated tax and withholding, if any, are not adequate.

5

W KURT SCHRADER (IRA)  
FCC AS CUSTODIAN

MARCH 1 - MARCH 31, 2010

### Activity detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
03/01				BEGINNING BALANCE			
03/01	Cash	DIVIDEND		OPPENHEIMER INTL BOND FUND CL A		9.33	
03/01	Cash	REINVEST DIV	1.46500	OPPENHEIMER INTL BOND FUND CL A	6.3700	-9.33	



W KURT SCHRADER (IRA)  
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Page 7 of 8

MARCH 1 - MARCH 31, 2016

## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
03/22	Cash	DIVIDEND		TARGET TOTAL RETURN FD [REDACTED]		39.90	
03/22	Cash	REINVEST DIV	3.67400	TARGET TOTAL RETURN FD	10.8600	-39.90	[REDACTED]
03/23	Cash	DIVIDEND		DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A [REDACTED]		0.01	[REDACTED]
03/29	Cash	DIVIDEND		MFS SER TR I VALUE FUND CL A [REDACTED]		25.93	
03/29	Cash	REINVEST DIV	1.20400	MFS SER TR I VALUE FUND CL A	21.5300	-25.93	[REDACTED]
03/30	Cash	DIVIDEND		THORNBURG INTL VALUE INTERNATIONAL VALUE FUND CLASS A [REDACTED]		2.56	
03/30	Cash	REINVEST DIV	0.10200	THORNBURG INTL VALUE INTERNATIONAL VALUE FUND CLASS A	25.0800	-2.56	[REDACTED]

## Cash sweep activity

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DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
03/01				03/31			
03/23	REINVEST DIV/INT	DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A	0.01				

7

W KURT SCHRADER (IRA)  
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DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/01				BEGINNING BALANCE			
04/01	Cash	DIVIDEND		OPPENHEIMER INTL BOND FUND CL A		11.13	
04/01	Cash	REINVEST DIV	1.73100	OPPENHEIMER INTL BOND FUND CL A	6.4300	-11.13	



W KURT SCHRADER (IRA)  
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APRIL 1 - APRIL 30, 2010

## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/22	Cash	DIVIDEND		TARGET TOTAL RETURN FD		41.86	
04/22	Cash	REINVEST DIV	3.83700	TARGET TOTAL RETURN FO	10.9100	-41.86	
04/23	Cash	DIVIDEND		ORYOEN GOVERNMENT SEC TRUST MONEYMARKET SER A		0.01	
04/27	Cash	WRAP FEE		PRUCHOICE QTR FEE		-257.93	

## Cash sweep activity

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DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
04/01		BEGINNING BALANCE		04/30			
04/23	REINVEST DIV/INT	DRYOEN GOVERNMENT SEC TRUST MONEYMARKET SER A	0.01				
04/28	TRANSFER FROM	ORYOEN GOVERNMENT SEC TRUST MONEYMARKET SER A	-257.93				

## Specific instructions and disclosures

### About this statement

### About your rights and responsibilities

### IRA withholding notice

Form W-4P/OMB No. 1454-0415

The withdrawals you receive from your IRA (except Education IRA) are subject to Federal income tax withholding unless you elect not to have withholding apply. If you have a periodic, or an "on demand" distribution, your election regarding our withholding of Federal income tax on your behalf stays in effect until you change it. You may change or revoke your election at any time and as often as you wish by completing a new election form. If you elect check writing privileges, you will also have previously elected to have no withholding on your withdrawals. If you decide to have taxes withheld you will not be eligible for check writing from your IRA. If you elect not to have taxes withheld you will be liable for payment of all taxes due on the taxable portion of your distribution and you may be responsible for payment of estimated tax. You may be subject to tax penalties under the estimated tax payment rules if your payments of estimated tax and withholding, if any, are not adequate.

9

~~W KURT SCHRADER (IRA)~~  
 FCC & CUSTODIAN

## Activity Detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
05/01				BEGINNING BALANCE		11.76	
05/03	Cash	DIVIDEND		OPPENHEIMER INTL BOND FUND CL A			
05/03	Cash	REINVEST DIV	1.82600	OPPENHEIMER INTL BOND FUND CL A	6.4400	-11.76	



W KURT SCHRADER (IRA)  
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MAY 1 - MAY 31 2010

## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
05/21	Cash	DIVIDEND		DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A		0.01	
05/24	Cash	DIVIDEND		TARGET TOTAL RETURN FD		44.36	
05/24	Cash	REINVEST DIV	4.02900	TARGET TOTAL RETURN FD	11.0100	-44.36	

## Cash sweep activity

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DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
05/01				05/31			
05/21	REINVEST DIV/INT	DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A	0.01				

## Specific instructions and disclosures

### About this statement

### About your rights and responsibilities

#### IRA withholding notice

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Form W-4P/OMB No. 1454-0415

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DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
06/01				BEGINNING BALANCE			
06/01	Cash	DIVIDEND		OPPENHEIMER INTL BOND FUND CL A		10.49	
06/01	Cash	REINVEST DIV	1.70800	OPPENHEIMER INTL BOND FUND CL A	6.1400	-10.49	





# Prudential

W KURT SCHRADER (IRA)  
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JUNE 1 - JUNE 30, 2010

## Activity detail continued

DATE	ACCDUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
06/22	Cash	DIVIDEND		TARGET TOTAL RETURN FD		37.83	
06/22	Cash	REINVEST DIV	3.43900	TARGET TOTAL RETURN FD	11.0000	-37.83	
06/23	Cash	DIVIDEND		DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A		0.01	
06/28	Cash	DIVIDEND		MFS SER TR I VALUE FUND CL A		35.46	
06/28	Cash	REINVEST DIV	1.79200	MFS SER TR I VALUE FUND CL A	19.7900	-35.46	
06/29	Cash	DIVIDEND		THORNBURG INTL VALUE INTERNATIONAL VALUE FUND CLASS A		46.02	
06/29	Cash	REINVEST DIV	1.95300	THORNBURG INTL VALUE INTERNATIONAL VALUE FUND CLASS A	23.5600	-46.02	

## Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
06/01				06/30			742.14
06/23	REINVEST DIV/INT	DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A	0.01				

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWFT BALANCES
07/01				BEGINNING BALANCE			
07/01	Cash	DIVIDEND		OPPENHEIMER INTL BOND FUND CL A		10.55	
07/01	Cash	REINVEST DIV	1.69900	OPPENHEIMER INTL BOND FUND CL A	6.2100	-10.55	
07/22	Cash	DIVIDEND		TARGET TOTAL RETURN FO		43.40	



# Prudential

W KURT SCHRADER (IRA)  
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Page 7 of 8

JULY 1 - JULY 31, 2010

## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
07/22	Cash	SALE	- 41.75500	BARON FUNDS GROWTH FUND	42.6000	1,778.75	
07/22	Cash	SALE	- 29.12900	GE FDS PREMIER GROWTH EQ FD-A	18.5600	540.63	
07/22	Cash	SALE	- 64.14700	MFS SER TR I VALUE FUND CL A	20.1400	1,291.92	
07/22	Cash	SALE	- 486.42000	OPPENHEIMER INTL BOND FUND CL A	6.4100	3,117.95	
07/22	Cash	SALE	- 199.68400	PRUDENTIAL JENNISON 20/20 FOCUS FUND CL Z	14.0000	2,795.57	
07/22	Cash	SALE	- 142.74400	TARGET PORTFOLIO TR SMALL CAPITALIZATION VALUE PDRT	17.4400	2,489.45	
07/22	Cash	PURCHASE	35.26500	PERKINS MID CAP VALUE FD INV SHS CLASS T	19.8500	-700.01	
07/22	Cash	REINVEST DIV	3.88200	TARGET TOTAL RETURN FD	11.1800	-43.40	
07/22	Cash	PURCHASE	217.45300	TARGET TOTAL RETURN FD	11.1600	-2,426.77	
07/22	Cash	PURCHASE	239.40000	THORNBURG INTL VALUE INTERNATIONAL VALUE FUND CLASS A	24.1000	-5,769.54	
07/23	Cash	DIVIDEND		DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A		0.01	
07/23	Cash	PURCHASE	84.76200	PERKINS MID CAP VALUE FD INV SHS CLASS T	20.0000	-1,695.23	
07/23	Cash	PURCHASE	58.28400	PRUDENTIAL JENNISON MID-CAP GROWTH FND CL Z	24.4100	-1,422.72	
07/27	Cash	WRAP FEE		PRUCHOICE QTR FEE		-239.38	

## Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

15

## Activity detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
09/01				BEGINNING BALANCE			
09/22	Cash	DIVIDEND		TARGET TOTAL RETURN FD		42.70	
09/22	Cash	REINVEST DIV	3.76200	TARGET TOTAL RETURN FD	11.3500	-42.70	
09/28	Cash	DIVIDEND		THORNBURG INTL VALUE INTERNATIONAL VALUE FUND CLASS A		48.90	
09/28	Cash	REINVEST DIV	1.87100	THORNBURG INTL VALUE INTERNATIONAL VALUE FUND CLASS A	26.1400	-48.90	
09/30	Cash	DIVIDEND		MFS SER TR I VALUE FUND CL A		23.45	



# Prudential

W KURT SCHRADER (IRA)  
FCC AS CUSTODIAN

SEPTEMBER 1 - SEPTEMBER 31, 2010

## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
09/30	Cash	REINVEST DIV	1.11400	MFS SER TR I VALUE FUND CL A	21.0500	-23.45	

## Specific instructions and disclosures

### IRA withholding notice

Form W-4P/OMB No. 1454-0415

The withdrawals you receive from your IRA (except Education IRA) are subject to Federal income tax withholding unless you elect not to have withholding apply. If you have a periodic, or an "on demand" distribution, your election regarding our withholding of Federal income tax on your behalf stays in affect until you change it. You may change or revoke your election at any time and as often as you wish by completing a new election form. If you elect check writing privileges, you will also have previously elected to have no withholding on your withdrawals. If you decide to have taxes withheld you will not be eligible for check writing from your IRA. If you elect not to have taxes withheld you will be liable for payment of all taxes due on the taxable portion of your distribution and you may be responsible for payment of estimated tax. You may be subject to tax penalties under the estimated tax payment rules if your payments of estimated tax and withholding, if any, are not adequate.

17

## Activity detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
08/01				BEGINNING BALANCE			
08/02	Cash	DIVIDEND		OPPENHEIMER INTL BOND FUND CL A		6.82	
08/04	Cash	ASSET TRF		TRSF FM PREV CUST/TTEE		6,789.46	
08/06	Cash	PURCHASE	6.27400	BARON FUNDS GROWTH FUND	43.2800	-271.56	
08/06	Cash	PURCHASE	28.60000	GE FDS PREMIER GROWTH EQ FD-A	18.9900	-543.12	
08/06	Cash	PURCHASE	23.55000	PERKINS MID CAP VALUE FD INV SHS CLASS T	20.1800	-475.23	
08/06	Cash	PURCHASE	29.47500	MFS SER TR I VALUE FUND CL A	20.7300	-611.01	



# Prudential

W KURT SCHRADER (IRA)  
FCC AS CUSTODIAN

AUGUST 1 - AUGUST 31, 2010

## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
08/06	Cash	PURCHASE	76.49600	PRUDENTIAL JENNISON 20/20 FOCUS FUND CL Z	14.2000	-1,086.24	
08/06	Cash	PURCHASE	16.69400	PRUDENTIAL JENNISON MID-CAP GROWTH FND CL Z	24.4000	-407.34	
08/06	Cash	PURCHASE	15.26500	TARGET PORTFOLIO TR SMALL CAPITALIZATION VALUE PORT	17.7900	-271.56	
08/06	Cash	PURCHASE	139.04500	TARGET TOTAL RETURN FD	11.2300	-1,561.47	
08/06	Cash	PURCHASE	62.40900	THORNBURG INTL VALUE INTERNATIONAL VALUE FUND CLASS A	25.0200	-1,561.47	
08/23	Cash	DIVIDEND		TARGET TOTAL RETURN FD		44.24	
08/23	Cash	DIVIDEND		DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A		0.01	
08/23	Cash	REINVEST DIV	3.89800	TARGET TOTAL RETURN FD	11.3500	-44.24	

## Cash sweep activity

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DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
08/01				08/09	TRANSFER FROM	DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A	
08/03	TRANSFER TO	DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A	6.82	08/23	REINVEST DIV/INT	DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A	0.01
08/05	TRANSFER TO	DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A	7,789.46	08/31		ENDING BALANCE	

## Specific instructions and disclosures

### IRA withholding notice

Form W-4P/OMB No. 1454-0415

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# Activity detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
10/01				BEGINNING BALANCE		45.05	
10/22	Cash	DIVIDEND		TARGET TOTAL RETURN FD			
10/22	Cash	REINVEST DIV	3.93800	TARGET TOTAL RETURN FD	11.4400	-45.05	
10/26	Cash	WRAP FEE		PRUCHOICE QTR FEE		-284.34	





# Prudential

W KURT SCHRADER (IRA)  
FCC AS CUSTODIAN

OCTOBER 1 - OCTOBER 31, 2010

## Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
10/01		BEGINNING BALANCE	[REDACTED]	10/31		[REDACTED]	[REDACTED]
10/27	TRANSFER FROM	DRYDEN GOVERNMENT SEC TRUST MONEYMARKET SER A	-284.34				

## Specific instructions and disclosures

### IRA withholding notice

Form W-4P/OMB No. 1454-0415

The withdrawals you receive from your IRA (except Education IRA) are subject to Federal income tax withholding unless you elect not to have withholding apply. If you have a periodic, or an "on demand" distribution, your election regarding our withholding of Federal income tax on your behalf stays in effect until you change it. You may change or revoke your election at any time and as often as you wish by completing a new election form. If you elect check writing privileges, you will also have previously elected to have no withholding on your withdrawals. If you decide to have taxes withheld you will not be eligible for check writing from your IRA. If you elect not to have taxes withheld you will be liable for payment of all taxes due on the taxable portion of your distribution and you may be responsible for payment of estimated tax. You may be subject to tax penalties under the estimated tax payment rules if your payments of estimated tax and withholding, if any, are not adequate.

Total Mutual Funds

\$32,020.43

\$300.00

1.00

## Activity detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
11/01				BEGINNING BALANCE			
11/22	Cash	DIVIDEND		TARGET TOTAL RETURN FD		50.41	
11/22	Cash	REINVEST DIV	4.47300	TARGET TOTAL RETURN FD	11.2700	-50.41	

## Specific instructions and disclosures

## IRA withholding notice

Form W-4P/OMB No. 1454-0415

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22

## Activity detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01				BEGINNING BALANCE			
12/13	Cash	DIVIDEND		MFS SER TR I VALUE FUND CL A		37.31	
12/13	Cash	DIVIDEND		TARGET PORTFOLIO TR SMALL CAPITALIZATION VALUE PORT		35.94	
12/13	Cash	REINVEST DIV	1.67500	MFS SER TR I VALUE FUND CL A	22.2700	-37.31	
12/13	Cash	REINVEST DIV	1.76600	TARGET PORTFOLIO TR SMALL CAPITALIZATION VALUE PORT	20.3500	-35.94	
12/22	Cash	DIVIDEND		PERKINS MID CAP VALUE FD INV SHS CLASS T		48.23	
12/22	Cash	LT CAP GAIN		TARGET TOTAL RETURN FD		208.05	
12/22	Cash	SHRT TRM GAIN		TARGET TOTAL RETURN FD		418.87	
12/22	Cash	DIVIDEND		TARGET TOTAL RETURN FD		54.19	

23



# Prudential

W KURT SCHRADER (IRA)  
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2010

## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/22	Cash	REINVEST DIV	2.16600	PERKINS MID CAP VALUE FD INV SHS CLASS T	22.2700	-48.23	
12/22	Cash	REINVEST DIV	39.25700	TARGET TOTAL RETURN FD	10.6700	-418.87	
12/22	Cash	REINVEST DIV	19.49900	TARGET TOTAL RETURN FD	10.6700	-208.05	
12/22	Cash	REINVEST DIV	5.07900	TARGET TOTAL RETURN FD	10.6700	-54.19	
12/29	Cash	DIVIDEND		THORNBURG INTL VALUE INTERNATIONAL VALUE FUND CLASS A		1.67	
12/29	Cash	REINVEST DIV	0.06000	THORNBURG INTL VALUE INTERNATIONAL VALUE FUND CLASS A	27.8400	-1.67	

## Specific instructions and disclosures

### Cost basis

This statement presents estimated unrealized or realized gains or losses for your information only. If acquisition or other information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information is not verified by FCC or Your Introducing Firm and should not be relied upon for legal or tax purposes. Revisions to this information (due to corporate mergers, tenders and other reorganizations) may be required from time to time. To update your cost information or provide omitted cost information, contact Your Financial Professional.

Cost basis for factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average.

Your account statement should not be used for tax preparation without assistance from your tax consultant because it does not constitute an official accounting of gains/losses. We do not report capital gains or losses to the IRS.

### IRA withholding notice

Form W-4P/OMB No. 1454-0415

The withdrawals you receive from your IRA (except Education IRA) are subject to Federal income tax withholding unless you elect not to have withholding apply. If you have a periodic, or an "on demand" distribution, your election regarding our withholding of Federal income tax on your behalf stays in effect until you change it. You may change or revoke your election at any time and as often as you wish by completing a new election form. If you elect check writing privileges, you will also have previously elected to have no withholding on your withdrawals. If you decide to have taxes withheld you will not be eligible for check writing from your IRA. If you elect not to have taxes withheld you will be liable for payment of all taxes due on the taxable portion of your distribution and you may be responsible for payment of estimated tax. You may be subject to tax penalties under the estimated tax payment rules if your payments of estimated tax and withholding, if any, are not adequate.

## Cash Transaction Detail

Report dated April 14, 2011

Page 1 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USD		01/04/2010	1# Maturity	(100,000.000) HAMILTON SOEAST CONS SBC 4.850% 01/01/2010 @\$1.000	\$100,000.00	
			1I Interest	100,000.000 HAMILTON SOEAST CONS SBC 4.850% 01/01/2010	\$2,425.00	
			1L Dividend	300.000 FEDEX CORP	\$33.00	
				725.000 WAL-MART STORES INC	\$197.56	
				625.000 HESS CORP	\$62.50	
				375.000 UNION PACIFIC CORP	\$101.25	
		01/05/2010	1L Dividend	0.000 FED TRUST UST OBLIG #59	\$0.48	
			2# Money mkt fund sale	(100,000.000) FED TRUST UST OBLIG #59 @\$1.000	\$100,000.00	
		01/07/2010	2# Money mkt fund sale	(1,800.000) FED TRUST UST OBLIG #59 @\$1.000	\$1,800.00	
		01/12/2010	1L Dividend	800.000 ILLINOIS TOOL WORKS INC	\$248.00	
		01/14/2010	1L Dividend	2,050.000 STAPLES INC	\$169.13	
		01/15/2010	2# Money mkt fund sale	(7,900.000) FED TRUST UST OBLIG #59 @\$1.000	\$7,900.00	
			2S Sale	(150.000) THERMO FISHER SCIENTIFIC @\$48.775	\$7,310.18	
		01/19/2010	1L Dividend	875.000 DISNEY (WALT) HOLDING CO	\$306.25	
			2# Money mkt fund sale	(1,100.000) FED TRUST UST OBLIG #59 @\$1.000	\$1,100.00	
			2S Sale	(125.000) THERMO FISHER SCIENTIFIC @\$48.700	\$6,083.62	
		01/21/2010	2S Sale	(200.000) THERMO FISHER SCIENTIFIC @\$47.550	\$9,501.80	
		01/22/2010	2S Sale	(50.000) THERMO FISHER SCIENTIFIC @\$48.690	\$2,432.45	
		01/29/2010	1L Dividend	450.000 EOG RES INC	\$65.25	
				1,300.000 DOW CHEMICAL	\$195.00	
		02/01/2010	1L Dividend	950.000 JPMORGAN CHASE & CO	\$47.50	

# Bessemer Trust

## Cash Transaction Detail

Report dated April 14, 2011

Page 2 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USD		02/01/2010	2# Money mkt fund sala	(89,600.000) FED TRUST UST OBLIG #59 @\$1.000	\$89,600.00	
			2S Sale	(3,439.770) OLD WESTBURY GL OPPTIES FD @\$7.040	\$24,216.00	
				(3,281.200) OLD WESTBURY REAL RETRN FD @\$9.470	\$31,073.00	
		02/03/2010	1L Dividend	1,500.000 LOWES COS INC	\$135.00	
		02/04/2010	2S Sale	(1,050.000) CORNING INC @\$18.160	\$19,036.47	
				(275.000) UNITED PARCEL SERVICE CL B @\$58.083	\$15,964.32	
		02/05/2010	2# Money mkt fund sale	(3,100.000) FED TRUST UST OBLIG #59 @\$1.000	\$3,100.00	
			2S Sale	(100.000) UNITED PARCEL SERVICE CL B @\$58.818	\$5,877.74	
				(550.000) CORNING INC @\$18.334	\$10,067.24	
				(250.000) ILLINOIS TOOL WORKS INC @\$43.905	\$10,966.06	
		02/08/2010	2# Money mkt fund sale	(2,200.000) FED TRUST UST OBLIG #59 @\$1.000	\$2,200.00	
			2S Sale	(150.000) ILLINOIS TOOL WORKS INC @\$43.765	\$8,558.64	
		02/09/2010	1L Dividend	0.000 OLD WESTBURY MUNI BOND FD TAX YEAR 2009 REALLOCATION OF 06/17/09 PAYMENT X! WSC REALLOC		
				0.000 OLD WESTBURY MUNI BOND FD TAX YEAR 2009 REALLOCATION OF 06/17/09 PAYMENT X! WSC REALLOC		
				0.000 OLD WESTBURY NON-US LC FD TAX YEAR 2009 REALLOCATION OF 12/11/09 PAYMENT X! WSC REALLOC		
				0.000 OLD WESTBURY NON-US LC FD TAX YEAR 2009 REALLOCATION OF 12/11/09 PAYMENT X! WSC REALLOC		
				0.000 OLD WESTBURY NON-US LC FD TAX YEAR 2009 REALLOCATION OF 12/11/09 PAYMENT X! WSC REALLOC		
				0.000 OLD WESTBURY MUNI BOND FD		

## Cash Transaction Detail

Report dated April 14, 2011

Page 3 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USD		02/09/2010	1L Dividend	TAX YEAR 2009 REALLOCATION OF 06/17/09 PAYMENT XI WSC REALLOC		
			0.000	OLD WESTBURY GL OPPTIES FD TAX YEAR 2009 REALLOCATION OF 12/18/09 PAYMENT XI WSC REALLOC		
			0.000	OLD WESTBURY GL OPPTIES FD TAX YEAR 2009 REALLOCATION OF 12/18/09 PAYMENT XI WSC REALLOC		
			0.000	OLD WESTBURY GL OPPTIES FD TAX YEAR 2009 REALLOCATION OF 12/18/09 PAYMENT XI WSC REALLOC		
			0.000	OLD WESTBURY REAL RETRN FD TAX YEAR 2009 REALLOCATION OF 12/21/09 PAYMENT XI WSC REALLOC		
			0.000	OLD WESTBURY REAL RETRN FD TAX YEAR 2009 REALLOCATION OF 12/21/09 PAYMENT XI WSC REALLOC		
			0.000	OLD WESTBURY MUNI BOND FD TAX YEAR 2009 REALLOCATION OF 12/11/09 PAYMENT XI WSC REALLOC		
			0.000	OLD WESTBURY MUNI BOND FD TAX YEAR 2009 REALLOCATION OF 12/11/09 PAYMENT XI WSC REALLOC		
			0.000	OLD WESTBURY MUNI BOND FD TAX YEAR 2009 REALLOCATION OF 12/11/09 PAYMENT XI WSC REALLOC		
			0.000	OLD WEST GL SM & MD CAP FD TAX YEAR 2009 REALLOCATION OF 12/14/09 PAYMENT XI WSC REALLOC		
			0.000	OLD WEST GL SM & MD CAP FD TAX YEAR 2009 REALLOCATION OF 12/14/09 PAYMENT XI WSC REALLOC		
			0.000	OLD WEST GL SM & MD CAP FO TAX YEAR 2009 REALLOCATION OF 12/14/09 PAYMENT XI WSC REALLOC		
			0.000	OLD WESTBURY REAL RETRN FD TAX YEAR 2009 REALLOCATION OF 12/21/09 PAYMENT XI WSC REALLOC		
		02/10/2010	1L Dividend	1,050.000 AMERICAN EXPRESS		\$189.00
		02/12/2010	1L Dividend	1,350.000 MORGAN STANLEY GRP INC		\$67.50
		02/18/2010	1L Dividend	800.000 PROCTER & GAMBLE CO		\$352.00
			1,150.000	PAYCHEX INC		\$356.50
		02/22/2010	1L Dividend	350.000 APACHE CORP		\$52.50
		02/24/2010	1L Dividend	1,000.000 TYCO INTL LTD NEW		\$223.90
		03/01/2010	1I Interest	200,000.000 MIDLOTHIAN WTR DIST TEXAS 5.125% 09/01/2013		\$5,125.00

## Cash Transaction Detail

Report dated April 14, 2011

Page 4 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USD		03/02/2010	2,050.000	1L Dividend PFIZER INC	\$369.00	
		03/04/2010	(1,300.000)	2# Money mkt fund sale FED TRUST UST OBLIG #59 @\$1.000	\$1,300.00	
		03/08/2010	(300.000)	2S Sale PROCTER & GAMBLE CO @\$63.658	\$19,085.19	
			(150.000)	CELGENE CORP @\$61.563	\$9,228.37	
		03/09/2010	375.000	1L Dividend JOHNSON & JOHNSON	\$183.75	
			(7,000.000)	2# Money mkt fund sale FED TRUST UST OBLIG #59 @\$1.000	\$7,000.00	
			(50.000)	2S Sale PROCTER & GAMBLE CO @\$63.634	\$3,179.67	
			(50.000)	CELGENE CORP @\$61.121	\$3,054.02	
		03/11/2010	1,300.000	1L Dividend MICROSOFT CORP	\$169.00	
		03/12/2010	800.000	1L Dividend WALGREEN CO	\$110.00	
		03/15/2010	1,950.000	1L Dividend INTERNATIONAL PAPER	\$48.75	
		03/16/2010	(900.000)	2# Money mkt fund sale FED TRUST UST OBLIG #59 @\$1.000	\$900.00	
			(250.000)	2S Sale ILLINOIS TOOL WORKS INC @\$46.679	\$11,662.10	
		03/17/2010	650.000	1L Dividend TEVA PHARM INDS LTD ADR	\$119.80	
				INCLUDES WITHHOLDING TAX OF \$13.18		
			(150.000)	2S Sale ILLINOIS TOOL WORKS INC @\$46.427	\$6,959.48	
		03/22/2010	(100.000)	2S Sale EOG RES INC @\$96.206	\$9,616.43	
		03/23/2010	(100.000)	2S Sale EOG RES INC @\$93.503	\$9,346.17	
		03/25/2010	32,358.270	1L Dividend OLD WESTBURY MUNI BOND FD	\$2,750.45	
		03/29/2010	625.000	1L Dividend T ROWE PRICE GROUP INC	\$168.75	
		03/30/2010	1,000.000	1L Dividend INGERSOLL-RAND PUBLIC LTD	\$70.00	



# Bessemer Trust

## Cash Transaction Detail

Report dated April 14, 2011

Page 5 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USO				INCLUDES WITHHOLDING TAX OF \$14.00		
		03/31/2010	1L Dividend	625.000 HESS CORP		\$62.50
		04/01/2010	1I Interest	200,000.000 WEST DE PERE SCH DIST WISC 5.000% 10/01/2011		\$5,000.00
				100,000.000 JACKSONVILLE ELEC AUTH FLA 5.250% 10/01/2012		\$2,625.00
			1L Dividend	300.000 FEDEX CORP		\$33.00
				500.000 BAXTER INTERNATIONAL INC		\$145.00
				375.000 UNION PACIFIC CORP		\$101.25
		04/05/2010	1L Dividend	725.000 WAL-MART STORES INC		\$219.31
		04/06/2010	2# Money mkt fund sale	(1,400.000) FEO TRUST UST OBLIG #59 @\$1.000		\$1,400.00
		04/09/2010	2# Money mkt fund sale	(40,000.000) FED TRUST UST OBLIG #59 @\$1.000		\$40,000.00
		04/15/2010	1L Dividend	1,550.000 STAPLES INC		\$139.50
		04/16/2010	2S Sale	(250.000) WAL-MART STORES INC @\$54.885		\$13,710.97
				(750.000) CISCO SYSTEMS INC @\$26.362		\$19,741.47
				(350.000) JOHNSON & JOHNSON @\$65.326		\$22,849.87
		04/19/2010	2# Money mkt fund sale	(3,700.000) FED TRUST UST OBLIG #59 @\$1.000		\$3,700.00
			2S Sale	(25.000) JOHNSON & JOHNSON @\$65.385		\$1,633.58
		04/20/2010	2# Money mkt fund sale	(18,500.000) FED TRUST UST OBLIG #59 @\$1.000		\$18,500.00
		04/21/2010	2# Money mkt fund sale	(1,600.000) FED TRUST UST OBLIG #59 @\$1.000		\$1,600.00
		04/26/2010	1L Dividend	2,500.000 GENERAL ELECTRIC CO		\$250.00
		04/27/2010	2# Money mkt fund sale	(5,600.000) FED TRUST UST OBLIG #59 @\$1.000		\$5,600.00

# Bessemer Trust

## Cash Transaction Detail

Report dated April 14, 2011

Page 6 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USO		04/30/2010	1L Dividend	250.000 EOG RES INC	\$38.75	
			1,300.000	OOW CHEMICAL	\$195.00	
			950.000	JPMORGAN CHASE & CO	\$47.50	
			2# Money mkt fund sale	(3,700.000) FEO TRUST UST OBLIG #59 @\$1.000	\$3,700.00	
		05/03/2010	2# Money mkt fund sale	(6,700.000) FED TRUST UST OBLIG #59 @\$1.000	\$6,700.00	
		05/04/2010	2# Money mkt fund sale	(1,300.000) FEO TRUST UST OBLIG #59 @\$1.000	\$1,300.00	
		05/05/2010	1L Dividend	1,500.000 LOWES COS INC	\$135.00	
			2S Sale	(450.000) LOWES COS INC @\$27.383	\$12,304.09	
				(300.000) AMERICAN EXPRESS @\$46.649	\$13,982.46	
				(500.000) EMC CORP @\$19.223	\$9,591.14	
		05/06/2010	2# Money mkt fund sale	(10,500.000) FEO TRUST UST OBLIG #59 @\$1.000	\$10,500.00	
		05/07/2010	2# Money mkt fund sale	(4,400.000) FEO TRUST UST OBLIG #59 @\$1.000	\$4,400.00	
		05/10/2010	1L Dividend	1,050.000 AMERICAN EXPRESS	\$189.00	
		05/13/2010	2S Sale	(100.000) T ROWE PRICE GROUP INC @\$54.943	\$5,491.18	
				(275.000) UNION PACIFIC CORP @\$74.517	\$20,483.49	
				(125.000) EOG RES INC @\$106.046	\$13,250.56	
		05/14/2010	1L Dividend	1,350.000 MORGAN STANLEY GRP INC	\$67.50	
			2S Sale	(50.000) T ROWE PRICE GROUP INC @\$54.350	\$2,715.47	
				(100.000) UNION PACIFIC CORP @\$75.115	\$7,507.39	
				(1,550.000) WEATHERFORO INTL LTD	\$24,172.15	

# Bessemer Trust

## Cash Transaction Detail

Report dated April 14, 2011

Page 7 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USD		05/14/2010		2S Sale @ \$15.635		
		05/17/2010	1,150.000	1L Dividend PAYCHEX INC	\$356.50	
			450.000	PROCTER & GAMBLE CO	\$216.81	
			(125.000)	2S Sale T ROWE PRICE GROUP INC @ \$54.837	\$6,849.46	
		05/18/2010	(25.000)	2S Sale T ROWE PRICE GROUP INC @ \$54.348	\$1,357.68	
		05/20/2010	(6,800.000)	2# Money mkt fund sale FED TRUST UST OBLIG #59 @ \$1.000	\$6,800.00	
		05/21/2010	350.000	1L Dividend APACHE CORP	\$52.50	
			(9,000.000)	2# Money mkt fund sale FED TRUST UST OBLIG #59 @ \$1.000	\$9,000.00	
		05/24/2010	(6,600.000)	2# Money mkt fund sale FED TRUST UST OBLIG #59 @ \$1.000	\$6,600.00	
		05/27/2010	1,000.000	1L Dividend TYCO INTL LTD NEW	\$191.00	
		06/01/2010	2,050.000	1L Dividend PFIZER INC	\$369.00	
			475.000	WAL-MART STORES INC	\$143.69	
		06/04/2010	350.000	1L Dividend BOEING COMPANY	\$147.00	
			650.000	TEVA PHARM INDS LTD ADR	\$118.54	
				INCLUDES WITHHOLDING TAX OF \$10.49		
			(1,300.000)	2# Money mkt fund sale FED TRUST UST OBLIG #59 @ \$1.000	\$1,300.00	
		06/10/2010	150.000	1L Dividend HONEYWELL INTL INC	\$45.38	
			1,300.000	MICROSOFT CORP	\$169.00	
		06/14/2010	800.000	1L Dividend WALGREEN CO	\$110.00	
			(10,000.000)	2# Money mkt fund sale FED TRUST UST OBLIG #59 @ \$1.000	\$10,000.00	
			(300.000)	2S Sale BAXTER INTERNATIONAL INC @ \$40.455	\$12,124.17	
			(125.000)	FEDEX CORP @ \$78.876	\$9,854.31	

# Bessemer Trust

## Cash Transaction Detail

Report dated April 14, 2011

Page 8 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USD		06/15/2010	11 Interest	100,000.000 CLARK CNTY SCH DIST NEV 4.500% 06/15/2017	\$2,250.00	
			100,000.000	CLACKAMAS CMNTY COLL DIST 5.000% 06/15/2018	\$2,500.00	
			1,950.000	INTERNATIONAL PAPER	\$243.75	
		2S Sale	(125.000)	FEDEX CORP @\$79.855	\$9,976.67	
			(200.000)	BAXTER INTERNATIONAL INC @\$40.956	\$8,183.12	
		06/16/2010	2S Sale	(50.000) FEDEX CORP @\$80.054	\$4,000.61	
		06/22/2010	2# Money mkt fund sale	(7,400.000) FED TRUST UST OBLIG #59 @\$1.000	\$7,400.00	
		06/23/2010	2# Money mkt fund sale	(2,500.000) FED TRUST UST OBLIG #59 @\$1.000	\$2,500.00	
		06/24/2010	1L Dividend	32,358.270 OLD WESTBURY MUNI BOND FD	\$3,074.04	
		06/25/2010	1L Dividend	850.000 NATIONAL OILWELL VARCO INC	\$85.00	
			600.000	QUALCOMM INC	\$114.00	
		06/28/2010	1L Dividend	325.000 T ROWE PRICE GROUP INC	\$87.75	
		06/30/2010	1L Dividend	1,100.000 INGERSOLL-RAND PUBLIC LTD	\$77.00	
				INCLUDES WITHHOLDING TAX OF \$15.40		
			625.000	HESS CORP	\$62.50	
		07/01/2010	1L Dividend	500.000 BAXTER INTERNATIONAL INC	\$145.00	
		07/07/2010	2# Money mkt fund sale	(1,100.000) FED TRUST UST OBLIG #59 @\$1.000	\$1,100.00	
		07/12/2010	2# Money mkt fund sale	(10,600.000) FED TRUST UST OBLIG #59 @\$1.000	\$10,600.00	
		07/13/2010	2# Money mkt fund sale	(13,700.000) FED TRUST UST OBLIG #59 @\$1.000	\$13,700.00	
		07/14/2010	1L Dividend	1,200.000 KRAFT FOODS INC	\$348.00	
			2# Money mkt fund sale	(1,200.000) FED TRUST UST OBLIG #59 @\$1.000	\$1,200.00	

## Cash Transaction Detail

Report dated April 14, 2011

Page 9 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USD		07/15/2010	1L Dividend	1,550.000 STAPLES INC	\$139.50	
		07/26/2010	1L Dividend	425.000 PNC FINANCIAL SVS GRP	\$42.50	
				2,750.000 GENERAL ELECTRIC CO	\$275.00	
		07/30/2010	1L Dividend	125.000 EOG RES INC	\$19.38	
				1,300.000 DOW CHEMICAL	\$195.00	
		08/02/2010	1L Dividend	950.000 JPMORGAN CHASE & CO	\$47.50	
		08/03/2010	2# Money mkt fund sale	(5,500.000) FED TRUST UST OBLIG #59 @ \$1.000	\$5,500.00	
			2S Sale	(400.000) PAYCHEX INC @ \$26.391	\$10,548.02	
		08/04/2010	1L Dividend	1,050.000 LOWES COS INC	\$115.50	
			2S Sale	(300.000) PAYCHEX INC @ \$25.994	\$7,786.07	
		08/05/2010	2# Money mkt fund sale	(1,100.000) FED TRUST UST OBLIG #59 @ \$1.000	\$1,100.00	
			2S Sale	(125.000) PAYCHEX INC @ \$26.148	\$3,263.41	
		08/06/2010	2S Sale	(125.000) PAYCHEX INC @ \$25.992	\$3,243.92	
		08/09/2010	2S Sale	(125.000) PAYCHEX INC @ \$25.991	\$3,243.87	
		08/10/2010	1L Dividend	1,000.000 AMERICAN EXPRESS	\$180.00	
			2# Money mkt fund sale	(12,100.000) FED TRUST UST OBLIG #59 @ \$1.000	\$12,100.00	
			2S Sale	(75.000) PAYCHEX INC @ \$25.780	\$1,930.50	
				(250.000) WALGREEN CO @ \$28.183	\$7,038.23	
				(150.000) INTERNATIONAL PAPER @ \$24.634	\$3,689.08	
		08/11/2010	2S Sale	(200.000) INTERNATIONAL PAPER @ \$24.004	\$4,792.64	
		08/12/2010	2S Sale	(300.000) WALGREEN CO	\$8,473.15	

# Bessemer Trust

## Cash Transaction Detail

Report dated April 14, 2011

Page 10 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USD				@\$28.284		
		08/13/2010	1L Dividend	1,350.000 MORGAN STANLEY GRP INC	\$67.50	
			2S Sale	(250.000) WALGREEN CO @\$28.173	\$7,033.18	
				(150.000) INTERNATIONAL PAPER @\$22.973	\$3,439.93	
		08/16/2010	1L Dividend	1,150.000 PAYCHEX INC	\$356.50	
				450.000 PROCTER & GAMBLE CO	\$216.81	
		08/18/2010	1L Dividend	450.000 ACE LIMITED	\$148.50	
			2# Money mkt fund sale	(15,300.000) FED TRUST UST OBLIG #59 @\$1.000	\$15,300.00	
		08/19/2010	2# Money mkt fund sale	(5,400.000) FED TRUST UST OBLIG #59 @\$1.000	\$5,400.00	
		08/23/2010	1L Dividend	350.000 APACHE CORP	\$52.50	
		08/25/2010	1L Dividend	1,000.000 TYCO INTL LTD NEW	\$211.10	
			2S Sale	(350.000) DOW CHEMICAL @\$24.425	\$8,534.51	
		08/26/2010	1L Dividend	650.000 TEVA PHARM INDS LTD ADR	\$119.28	
				INCLUDES WITHHOLDING TAX OF \$10.74		
			2# Money mkt fund sale	(2,900.000) FED TRUST UST OBLIG #59 @\$1.000	\$2,900.00	
			2S Sale	(250.000) DOW CHEMICAL @\$24.252	\$6,052.88	
		08/27/2010	2S Sale	(400.000) DOW CHEMICAL @\$23.236	\$9,278.32	
		08/30/2010	2# Money mkt fund sale	(700.000) FED TRUST UST OBLIG #59 @\$1.000	\$700.00	
			2S Sale	(275.000) DOW CHEMICAL @\$22.904	\$6,287.57	
		08/31/2010	2# Money mkt fund sale	(4,100.000) FED TRUST UST OBLIG #59 @\$1.000	\$4,100.00	
			2S Sale	(25.000) DDW CHEMICAL	\$592.11	

## Cash Transaction Detail

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USD		08/31/2010		@\$23.725		
		09/01/2010	11 Interest	200,000.000 MIDLOTHIAN WTR DIST TEXAS 5.125% 09/01/2013		\$5,125.00
			1L Dividend	2,050.000 PFIZER INC		\$369.00
		09/03/2010	1L Dividend	350.000 BOEING COMPANY		\$147.00
		09/07/2010	1L Dividend	475.000 WAL-MART STORES INC		\$143.69
			2# Money mkt fund sale	(1,200.000) FED TRUST UST OBLIG #59 @\$1.000		\$1,200.00
		09/09/2010	1L Dividend	800.000 ARCHER-DANIELS-MIDLAND CO		\$120.00
				1,800.000 MICROSOFT CORP		\$234.00
		09/10/2010	1L Dividend	750.000 HONEYWELL INTL INC		\$226.88
		09/15/2010	1L Dividend	1,450.000 INTERNATIONAL PAPER		\$181.25
		09/23/2010	1L Dividend	32,358.270 OLD WESTBURY MUNI BOND FD		\$2,912.24
			2S Sale	(75.000) EOG RES INC @\$87.733		\$6,576.89
				(125.000) PNC FINANCIAL SVS GRP @\$54.122		\$6,760.15
		09/24/2010	1L Dividend	600.000 QUALCOMM INC		\$114.00
				950.000 NATIONAL OILWELL VARCO INC		\$95.00
			2S Sale	(50.000) EOG RES INC @\$88.609		\$4,428.39
				(200.000) PNC FINANCIAL SVS GRP @\$53.607		\$10,713.20
		09/27/2010	2S Sale	(100.000) PNC FINANCIAL SVS GRP @\$51.476		\$5,143.52
		09/28/2010	1L Dividend	525.000 T ROWE PRICE GROUP INC		\$141.75
			2# Money mkt fund sale	(8,200.000) FED TRUST UST OBLIG #59 @\$1.000		\$8,200.00
		09/29/2010	2# Money mkt fund sale	(10,800.000) FED TRUST UST OBLIG #59 @\$1.000		\$10,800.00
		09/30/2010	1L Dividend	625.000 HESS CORP		\$62.50
				1,100.000 INGERSOLL-RAND PUBLIC LTD		\$77.00

# Bessemer Trust

## Cash Transaction Detail

Report dated April 14, 2011

Page 12 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USD		09/30/2010	1L Dividend			
				INCLUDES WITHHOLDING TAX OF \$15.40		
			2# Money mkt fund sale	(2,100.000) FED TRUST UST OBLIG #59 @ \$1.000	\$2,100.00	
		10/01/2010	1I Interest	100,000.000 JACKSONVILLE ELEC AUTH FLA 5.250% 10/01/2012	\$2,625.00	
				200,000.000 WEST DE PERE SCH DIST WISC 5.000% 10/01/2011	\$5,000.00	
			3# Redemption	(200,000.000) WEST DE PERE SCH DIST WISC 5.000% 10/01/2011 Redemption of entire issue 10/1/10 @ \$1.000	\$200,000.00	
		10/05/2010	2# Money mkt fund sale	(200,000.000) FED TRUST UST OBLIG #59 @ \$1.000	\$200,000.00	
		10/06/2010	2# Money mkt fund sale	(1,500.000) FED TRUST UST OBLIG #59 @ \$1.000	\$1,500.00	
		10/12/2010	2S Sale	(250.000) TYCO INTL LTD NEW @ \$37.640	\$9,402.34	
				(750.000) PFIZER INC @ \$17.319	\$12,959.03	
		10/13/2010	2S Sele	(100.000) CELGENE CORP @ \$57.890	\$5,765.93	
				(200.000) TYCO INTL LTD NEW @ \$37.131	\$7,418.09	
		10/14/2010	1L Dividend	1,200.000 KRAFT FOODS INC	\$348.00	
				1,550.000 STAPLES INC	\$139.50	
			2S Sele	(50.000) CELGENE CORP @ \$57.562	\$2,876.57	
				(75.000) CELGENE CORP @ \$57.856	\$4,336.89	
				(150.000) TYCO INTL LTD NEW @ \$37.285	\$5,586.72	
				(125.000) TYCO INTL LTD NEW @ \$37.232	\$4,650.11	
		10/15/2010	1L Dividend	700.000 PG & E CORP	\$318.50	



## Cash Transaction Detail

Report dated April 14, 2011

Page 13 of 26

V. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USD		10/15/2010	2S Sale	(125.000) CELGENE CORP @\$57.641	\$7,201.30	
		10/18/2010	2S Sale	(75.000) CELGENE CORP @\$58.445	\$4,381.08	
				(275.000) TYCO INTL LTD NEW @\$37.663	\$10,346.18	
		10/19/2010	2S Sale	(75.000) CELGENE CORP @\$58.035	\$4,349.56	
		10/25/2010	1L Dividend	2,750.000 GENERAL ELECTRIC CO	\$330.00	
				650.000 ACE LIMITED	\$214.50	
			1T Class action	0.000 Payment from Tyco Int'l net settlement fund. To receive pro-rata distribution from securities litigation net settlement fund for closec account 9D5256. Taxability to be reflected as long term capital gains.	\$13.70	
		11/01/2010	1L Dividend	950.000 JPMORGAN CHASE & CO	\$47.50	
		11/02/2010	1L Dividend	0.000 FED TRUST UST OBLIG #59	\$0.01	
		11/03/2010	1L Dividend	1,050.000 LOWES COS INC	\$115.50	
			2# Money mkt fund sale	(8,100.000) FED TRUST UST OBLIG #59 @\$1.000	\$8,100.00	
		11/04/2010	2# Money mkt fund sale	(4,800.000) FED TRUST UST OBLIG #59 @\$1.000	\$4,800.00	
		11/09/2010	2# Money mkt fund sale	(13,300.000) FED TRUST UST OBLIG #59 @\$1.000	\$13,300.00	
		11/10/2010	1L Dividend	100.000 MASTERCARD CL A	\$15.00	
				1,000.000 AMERICAN EXPRESS	\$180.00	
			2# Money mkt fund sale	(3,700.000) FED TRUST UST OBLIG #59 @\$1.000	\$3,700.00	
		11/12/2010	2# Money mkt fund sale	(4,100.000) FED TRUST UST OBLIG #59 @\$1.000	\$4,100.00	
		11/15/2010	1L Dividend	1,350.000 MORGAN STANLEY GRP INC	\$67.50	
				450.000 PROCTER & GAMBLE CO	\$216.81	
			2# Money mkt fund sale	(1,700.000) FED TRUST UST OBLIG #59 @\$1.000	\$1,700.00	

# Bessemer Trust

## Cash Transaction Detail

Report dated April 14, 2011

Page 14 of 26

V. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USD		11/16/2010	2S Sale	(250.000) STAPLES INC @\$20.631	\$5,147.64	
				(250.000) STAPLES INC @\$20.628	\$5,146.91	
		11/17/2010	2# Money mkt fund sale	(800.000) FED TRUST UST OBLIG #59 @\$1.000	\$800.00	
			2S Sale	(150.000) STAPLES INC @\$20.375	\$3,051.70	
		11/18/2010	2# Money mkt fund sale	(2,800.000) FED TRUST UST OBLIG #59 @\$1.000	\$2,800.00	
			2S Sale	(150.000) STAPLES INC @\$20.307	\$3,039.97	
		11/19/2010	2# Money mkt fund sale	(800.000) FED TRUST UST OBLIG #59 @\$1.000	\$800.00	
			2S Sale	(150.000) STAPLES INC @\$20.176	\$3,021.80	
		11/22/2010	1L Dividend	350.000 APACHE CORP	\$52.50	
			2# Money mkt fund sale	(1,900.000) FED TRUST UST OBLIG #59 @\$1.000	\$1,900.00	
		11/23/2010	2# Money mkt fund sale	(15,600.000) FED TRUST UST OBLIG #59 @\$1.000	\$15,600.00	
			2S Sale	(350.000) STAPLES INC @\$20.640	\$7,209.99	
		11/24/2010	2S Sale	(250.000) STAPLES INC @\$21.162	\$5,280.48	
		11/30/2010	1Y Cash receipt	0.000 KURT SCHRADER FOR CONGRESS ADDITION TO ACCOUNT CHECK DTD 11/19/2010 CHECK NO. 2333 XI	\$74,400.00	
		12/01/2010	1L Dividend	2,050.000 PFIZER INC	\$369.00	
		12/03/2010	1L Dividend	350.000 BOEING COMPANY	\$147.00	
		12/06/2010	1L Dividend	650.000 TEVA PHARM INDS LTD ADR	\$123.58	
				INCLUDES WITHHOLDING TAX OF \$11.12		
			2# Money mkt fund sale	(1,200.000) FED TRUST UST OBLIG #59 @\$1.000	\$1,200.00	

# Bessemer Trust

## Cash Transaction Detail

Report dated April 14, 2011

Page 15 of 26

KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USD		12/09/2010	1L Dividend	1,150.000 ARCHER-DANIELS-MIDLAND CO	\$172.50	
			-62,420.170	OLD WESTBURY NON-US LC FD	\$5,146.54	
			1,800.000	MICROSOFT CORP	\$288.00	
		12/10/2010	1L Dividend	52,741.840 OLD WEST GL SM & MD CAP FD	\$4,072.20	
			750.000	HONEYWELL INTL INC	\$226.88	
			1P Long term cap gain	52,741.840 OLD WEST GL SM & MD CAP FD	\$15,531.94	
		12/13/2010	1I Interest	(200,000.000) MIDLOTHIAN WTR DIST TEXAS 5.125% 09/01/2013 ACCRUED INTEREST ON SALE	\$2,904.17	
			2S Sale	(200,000.000) MIDLOTHIAN WTR DIST TEXAS 5.125% 09/01/2013 @\$102.886	\$205,772.00	
		12/14/2010	1T Class action	0.000 Payment from UnitedHealth Net Settlement Fund. To receive pro-rata distribution from securities litigation net settlement fund. Taxability to be reflected as long term capital gains.	\$311.40	
		12/15/2010	1I Interest	100,000.000 CLACKAMAS CMNTY COLL DIST 5.000% 06/15/2018	\$2,500.00	
				100,000.000 CLARK CNTY SCH DIST NEV 4.500% 06/15/2017	\$2,250.00	
			1L Dividend	1,450.000 INTERNATIONAL PAPER	\$181.25	
		12/16/2010	1L Dividend	29,266.410 OLD WESTBURY REAL RETRN FD	\$14,316.54	
				48,724.880 OLD WESTBURY MUNI BONO FD	\$3,889.22	
			1P Long term cap gain	48,724.880 OLD WESTBURY MUNI BONO FD	\$2,531.74	
			1V Short term cap gain	48,724.880 OLD WESTBURY MUNI BOND FD	\$417.57	
		12/17/2010	1L Dividend	92,930.390 OLD WESTBURY GL OPTIES FD	\$46,481.92	
				950.000 NATIONAL OILWELL VARCO INC	\$104.50	
		12/21/2010	2# Money mkt fund sale	(25,000.000) FED TRUST UST OBLIG #59 @\$1.000	\$25,000.00	
		12/22/2010	1L Dividend	600.000 QUALCOMM INC	\$114.00	
		12/23/2010	Z1 Security receipt	(312.500) MOTOROLA MOBILITY HLDG INC SPIN OFF REVERSAL OF TRANSACTION REPORTED ON 12/23/2010		
				312.500 MOTOROLA MOBILITY HLDG INC SPIN OFF		

# Bessemer Trust

## Cash Transaction Detail

Report dated April 14, 2011

Page 16 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USD		12/28/2010	1L Dividend	525.000 T ROWE PRICE GROUP INC	\$141.75	
		12/30/2010	1L Dividend	1,100.000 INGERSOLL-RAND PUBLIC LTD	\$77.00	
INCLUDES WITHHOLDING TAX OF \$15.40						
<b>Subtractions</b>						
USD		01/04/2010	5# Money mkt fund purch	102,800.000 FED TRUST UST OBLIG #59 @\$1.000	(\$102,800.00)	
		01/05/2010	5P Purchase	8,503.400 OLD WESTBURY MUNI BOND FD @\$11.760	(\$100,000.00)	
		01/07/2010	6I Fee/Commission	0.000 INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 12/31/09	(\$1,793.36)	
		01/14/2010	5# Money mkt fund purch	500.000 FED TRUST UST OBLIG #59 @\$1.000	(\$500.00)	
		01/15/2010	5P Purchase	250.000 PFIZER INC @\$18.832	(\$4,717.93)	
				175.000 BAXTER INTERNATIONAL INC @\$59.726	(\$10,457.32)	
		01/19/2010	5P Purchase	125.000 BAXTER INTERNATIONAL INC @\$60.221	(\$7,532.56)	
		01/21/2010	5# Money mkt fund purch	9,500.000 FED TRUST UST OBLIG #59 @\$1.000	(\$9,500.00)	
		01/22/2010	5# Money mkt fund purch	2,400.000 FED TRUST UST OBLIG #59 @\$1.000	(\$2,400.00)	
		02/01/2010	5P Purchase	10,580.960 OLD WESTBURY MUNI BOND FD @\$11.820	(\$125,067.00)	
				579.270 OLD WEST GL SM & MD CAP FD @\$12.250	(\$7,098.00)	
				1,460.000 OLD WESTBURY NON-US LC FD @\$8.900	(\$12,994.00)	
		02/04/2010	5# Money mkt fund purch	2,300.000 FED TRUST UST OBLIG #59 @\$1.000	(\$2,300.00)	
			5P Purchase	300.000 WALGREEN CO @\$36.264	(\$10,888.11)	

# Bessemer Trust

## Cash Transaction Detail

Report dated April 14, 2011

Page 17 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Subtractions</b>						
USD		02/04/2010	5P Purchase	1,250.000 GENERAL ELECTRIC CO @\$16.239	(\$20,349.25)	
			6I Fee/Commission	0.000 INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 01/31/10	(\$1,655.86)	
		02/05/2010	5P Purchase	250.000 WALGREEN CO @\$36.548	(\$9,146.93)	
				1,250.000 GENERAL ELECTRIC CO @\$16.630	(\$20,824.87)	
		02/08/2010	5P Purchase	250.000 WALGREEN CO @\$35.096	(\$8,784.08)	
		02/16/2010	5# Money mkt fund purch	1,000.000 FED TRUST UST OBLIG #59 @\$1.000	(\$1,000.00)	
		03/01/2010	5# Money mkt fund purch	5,400.000 FED TRUST UST OBLIG #59 @\$1.000	(\$5,400.00)	
		03/04/2010	6I Fee/Commission	0.000 INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 02/28/10	(\$1,615.38)	
		03/08/2010	5# Money mkt fund purch	12,200.000 FED TRUST UST OBLIG #59 @\$1.000	(\$12,200.00)	
			5P Purchase	250.000 BOEING COMPANY @\$64.546	(\$16,146.63)	
		03/09/2010	5P Purchase	25.000 BOEING COMPANY @\$65.391	(\$1,635.79)	
				200.000 BAXTER INTERNATIONAL INC @\$58.893	(\$11,786.68)	
		03/16/2010	5P Purchase	75.000 BOEING COMPANY @\$70.026	(\$5,254.18)	
				250.000 GENERAL ELECTRIC CO @\$16.451	(\$4,122.75)	
				100.000 INGERSOLL-RAND PUBLIC LTD @\$34.520	(\$3,456.00)	
		03/17/2010	5# Money mkt fund purch	7,100.000 FED TRUST UST OBLIG #59 @\$1.000	(\$7,100.00)	
		03/22/2010	5# Money mkt fund purch	9,600.000 FED TRUST UST OBLIG #59 @\$1.000	(\$9,600.00)	

# Bessemer Trust

## Cash Transaction Detail

Report dated April 14, 2011

Page 18 of 26

V. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Subtractions</b>						
USD		03/23/2010	5#	Money mkt fund purch	9,400.000	FED TRUST UST OBLIG #59 @\$1.000 (\$9,400.00)
		03/26/2010	5#	Money mkt fund purch	2,700.000	FED TRUST UST OBLIG #59 @\$1.000 (\$2,700.00)
		04/01/2010	5#	Money mkt fund purch	8,200.000	FED TRUST UST OBLIG #59 @\$1.000 (\$8,200.00)
		04/06/2010	6I	Fee/Commission	0.000	INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 03/31/10 (\$1,657.08)
		04/09/2010	6L	Remittance & dep.	0.000	DR. W. KURT SCHRADER PER CLIENT REQUEST XI (\$40,000.00)
		04/16/2010	5#	Money mkt fund purch	25,900.000	FED TRUST UST OBLIG #59 @\$1.000 (\$25,900.00)
			5P	Purchase	550.000	KRAFT FOODS INC @\$30.463 (\$18,776.82)
					325.000	QUALCOMM INC @\$42.081 (\$13,689.20)
		04/19/2010	5P	Purchase	125.000	QUALCOMM INC @\$42.538 (\$5,322.21)
		04/20/2010	5P	Purchase	600.000	KRAFT FOODS INC @\$30.924 (\$18,578.34)
		04/21/2010	5P	Purchase	50.000	KRAFT FOODS INC @\$30.728 (\$1,537.89)
		04/27/2010	5P	Purchase	150.000	QUALCOMM INC @\$39.512 (\$5,931.26)
		04/30/2010	5P	Purchase	75.000	ACE LIMITED @\$52.375 (\$3,931.10)
		05/03/2010	5P	Purchase	125.000	ACE LIMITED @\$53.425 (\$8,680.59)
		05/04/2010	5P	Purchase	25.000	ACE LIMITED @\$53.329 (\$1,334.24)
		05/05/2010	5#	Money mkt fund purch	11,800.000	FED TRUST UST OBLIG #59 @\$1.000 (\$11,800.00)
			5P	Purchase	550.000	NATIONAL OILWELL VARCO INC @\$43.986 (\$24,214.19)

## Cash Transaction Detail

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Subtractions</b>						
USD		05/06/2010	200.000	5P Purchase NATIONAL OILWELL VARCO INC @\$44.200	(\$8,847.98)	
			0.000	6I Fee/Commission INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 04/30/10	(\$1,648.05)	
		05/07/2010	100.000	5P Purchase NATIONAL OILWELL VARCO INC @\$43.531	(\$4,357.13)	
		05/13/2010	39,400.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$39,400.00)	
		05/14/2010	34,500.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$34,500.00)	
		05/17/2010	7,400.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$7,400.00)	
		05/18/2010	1,400.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$1,400.00)	
		05/20/2010	150.000	5P Purchase HONEYWELL INTL INC @\$45.281	(\$6,798.07)	
		05/21/2010	200.000	5P Purchase HONEYWELL INTL INC @\$45.151	(\$9,038.22)	
		05/24/2010	150.000	5P Purchase HONEYWELL INTL INC @\$43.878	(\$6,587.62)	
		06/01/2010	700.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$700.00)	
		06/04/2010	0.000	6I Fee/Commission INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 05/31/10	(\$1,555.64)	
		06/14/2010	100.000	5P Purchase NATIONAL OILWELL VARCO INC @\$36.476	(\$3,651.55)	
			250.000	HONEYWELL INTL INC @\$41.028	(\$10,267.00)	
			250.000	AMERICAN EXPRESS @\$38.698	(\$9,884.57)	
			175.000	ACE LIMITED @\$49.610	(\$8,688.72)	
		06/15/2010	20,700.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$20,700.00)	

## Cash Transaction Detail

Report dated April 14, 2011

Page 20 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Subtractions</b>						
USD		06/15/2010	50.000	ACE LIMITED @\$50.367	(\$2,520.37)	
		06/16/2010	4,000.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$4,000.00)	
		06/22/2010	150.000	5P Purchase T ROWE PRICE GROUP INC @\$49.071	(\$7,366.70)	
		06/23/2010	50.000	5P Purchase T ROWE PRICE GROUP INC @\$49.284	(\$2,466.22)	
		06/25/2010	3,300.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$3,300.00)	
		07/07/2010	0.000	6I Fee/Commission INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 06/30/10	(\$1,499.09)	
		07/12/2010	175.000	5P Purchase PNC FINANCIAL SVS GRP @\$60.358	(\$10,569.56)	
		07/13/2010	225.000	5P Purchase PNC FINANCIAL SVS GRP @\$60.788	(\$13,686.26)	
		07/14/2010	25.000	5P Purchase PNC FINANCIAL SVS GRP @\$61.567	(\$1,540.18)	
		07/26/2010	500.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$500.00)	
		08/03/2010	600.000	5P Purchase ARCHER-DANIELS-MIDLAND CO @\$27.062	(\$16,255.38)	
		08/04/2010	5,200.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$5,200.00)	
			100.000	5P Purchase ARCHER-DANIELS-MIDLAND CO @\$27.249	(\$2,728.86)	
		08/05/2010	100.000	5P Purchase ARCHER-DANIELS-MIDLAND CO @\$28.163	(\$2,820.25)	
			0.000	6I Fee/Commission INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 07/31/10	(\$1,563.87)	
		08/06/2010	3,200.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$3,200.00)	
		08/09/2010	3,300.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$3,300.00)	



## Cash Transaction Detail

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Subtractions</b>						
USD		08/10/2010	750.000	PFIZER INC @\$16.227	(\$12,200.17)	
			500.000	MICROSOFT CORP @\$25.339	(\$12,689.45)	
		08/11/2010	4,800.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$4,800.00)	
		08/12/2010	8,500.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$8,500.00)	
		08/13/2010	10,500.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$10,500.00)	
		08/16/2010	600.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$600.00)	
		08/18/2010	200.000	5P Purchase WAL-MART STORES INC @\$50.494	(\$10,106.78)	
			100.000	ACE LIMITED @\$53.475	(\$5,351.50)	
		08/19/2010	100.000	5P Purchase ACE LIMITED @\$53.323	(\$5,336.27)	
		08/25/2010	8,600.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$8,600.00)	
		08/26/2010	200.000	5P Purchase PG & E CORP @\$46.247	(\$9,257.44)	
		08/27/2010	2,300.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$2,300.00)	
			150.000	5P Purchase PG & E CORP @\$46.607	(\$6,996.99)	
		08/30/2010	150.000	5P Purchase PG & E CORP @\$46.875	(\$7,037.20)	
		08/31/2010	100.000	5P Purchase PG & E CORP @\$46.921	(\$4,695.08)	
		09/01/2010	700.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$700.00)	
			100.000	5P Purchase PG & E CORP @\$47.403	(\$4,744.26)	

# Bessemer Trust

## Cash Transaction Detail

Report dated April 14, 2011

Page 22 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Subtractions</b>						
USD		09/07/2010	0.000	6I Fee/Commission		(\$1,503.34)
				INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 08/31/10		
		09/10/2010	600.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000		(\$600.00)
		09/23/2010	5,600.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000		(\$5,600.00)
			50.000	5P Purchase MASTERCARD CL A @\$217.051		(\$10,854.54)
		09/24/2010	4,500.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000		(\$4,500.00)
			50.000	5P Purchase MASTERCARD CL A @\$218.828		(\$10,843.39)
		09/27/2010	5,100.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000		(\$5,100.00)
		09/28/2010	1,000.000	5P Purchase MOTOROLA INC @\$8.368		(\$8,397.50)
		09/29/2010	1,250.000	5P Purchase MOTOROLA INC @\$8.567		(\$10,733.37)
		09/30/2010	250.000	5P Purchase MOTOROLA INC @\$8.612		(\$2,156.68)
		10/01/2010	207,700.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000		(\$207,700.00)
		10/05/2010	16,366.610	5P Purchase OLD WESTBURY MUNI BOND FD @\$12.220		(\$200,000.00)
		10/06/2010	0.000	6I Fee/Commission		(\$1,571.94)
				INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 09/30/10		
		10/12/2010	22,300.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000		(\$22,300.00)
		10/13/2010	13,200.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000		(\$13,200.00)
		10/14/2010	17,900.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000		(\$17,900.00)
		10/15/2010	7,600.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000		(\$7,600.00)

# Bessemer Trust

## Cash Transaction Detail

Report dated April 14, 2011

Page 23 of 26

W. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Subtractions</b>						
USD		10/18/2010	3,200.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$3,200.00)	
			50.000	5P Purchase MASTERCARD CL A @\$229.591	(\$11,481.54)	
		10/19/2010	4,400.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$4,400.00)	
		10/26/2010	500.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$500.00)	
		11/03/2010	250.000	5P Purchase ARCHER-DANIELS-MIDLAND CO @\$32.999	(\$8,259.80)	
		11/04/2010	100.000	5P Purchase ARCHER-DANIELS-MIDLAND CO @\$33.572	(\$3,361.16)	
			0.000	6I Fee/Commission INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 10/31/10	(\$1,456.36)	
		11/09/2010	350.000	5P Purchase ST JUDE MEDICAL INC @\$38.089	(\$13,341.58)	
		11/10/2010	100.000	5P Purchase ST JUDE MEDICAL INC @\$38.768	(\$3,879.82)	
		11/12/2010	100.000	5P Purchase ST JUDE MEDICAL INC @\$38.562	(\$3,859.23)	
			0.000	6Y Other financial services FEE ON SPECIAL REPORTS PRODUCED FOR TAX PREP PURPOSES FOR TAX YR 2009	(\$250.00)	
		11/15/2010	50.000	5P Purchase ST JUDE MEDICAL INC @\$39.166	(\$1,958.79)	
		11/16/2010	6,400.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$6,400.00)	
			100.000	5P Purchase ST JUDE MEDICAL INC @\$38.861	(\$3,887.07)	
		11/17/2010	100.000	5P Purchase ST JUDE MEDICAL INC @\$38.704	(\$3,872.42)	
		11/18/2010	150.000	5P Purchase ST JUDE MEDICAL INC @\$38.901	(\$5,838.14)	
		11/19/2010	100.000	5P Purchase ST JUDE MEDICAL INC @\$38.435	(\$3,846.48)	

# Bessemer Trust

## Cash Transaction Detail

Report dated April 14, 2011

Page 24 of 26

[REDACTED] V. KURT SCHRADER IM

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Subtractions</b>						
USD	[REDACTED]	11/22/2010	50.000	5P Purchase ST JUDE MEDICAL INC @\$38.491	(\$1,926.56)	
		11/23/2010	650.000	5P Purchase GENERAL MOTORS CO @\$35.034	(\$22,798.17)	
		11/24/2010	5,300.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$5,300.00)	
		12/01/2010	74,700.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$74,700.00)	
		12/06/2010	0.000	6I Fee/Commission INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 11/30/10	(\$1,513.34)	
		12/09/2010	5,600.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$5,600.00)	
		12/10/2010	270.040	5P Purchase OLD WEST GL SM & MD CAP FD @\$15.080	(\$4,072.20)	
			1,029.970	OLD WEST GL SM & MD CAP FD @\$15.080	(\$15,531.94)	
		12/13/2010	208,900.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$208,900.00)	
		12/15/2010	5,200.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$5,200.00)	
		12/16/2010	6,900.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$6,900.00)	
			1,377.920	5P Purchase OLD WESTBURY REAL RETRN FD @\$10.390	(\$14,316.54)	
		12/17/2010	46,600.000	5# Money mkt fund purch FED TRUST UST OBLIG #59 @\$1.000	(\$46,600.00)	
		12/21/2010	0.000	6L Remittance & dep. DR. W. KURT SCHRADER PER CLIENT REQUEST XI	(\$25,000.00)	

## Cash Transaction Detail

W. KURT SCHRADER CUSTODY

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Additions</b>						
USD		01/04/2010	11 Interest	0.000		\$4.34
				INTEREST ON BESSEMER SWEEP FOR PERIOD 12/01/2009 TO 12/31/2009		
		02/01/2010	11 Interest	0.000		\$4.34
				INTEREST ON BESSEMER SWEEP FOR PERIOD 01/01/2010 TO 01/31/2010		
		03/01/2010	11 Interest	0.000		\$3.92
				INTEREST ON BESSEMER SWEEP FOR PERIOD 02/01/2010 TO 02/28/2010		
		03/02/2010	1L Dividend	16,887.000		\$3,039.66
				PFIZER INC		
		04/01/2010	11 Interest	0.000		\$4.94
				INTEREST ON BESSEMER SWEEP FOR PERIOD 03/01/2010 TO 03/31/2010		
		05/03/2010	11 Interest	0.000		\$4.80
				INTEREST ON BESSEMER SWEEP FOR PERIOD 04/01/2010 TO 04/30/2010		
		06/01/2010	11 Interest	0.000		\$4.96
				INTEREST ON BESSEMER SWEEP FOR PERIOD 05/01/2010 TO 05/31/2010		
			1L Dividend	16,887.000		\$3,039.66
				PFIZER INC		
		07/01/2010	11 Interest	0.000		\$5.10
				INTEREST ON BESSEMER SWEEP FOR PERIOD 06/01/2010 TO 06/30/2010		
		08/02/2010	11 Interest	0.000		\$5.27
				INTEREST ON BESSEMER SWEEP FOR PERIOD 07/01/2010 TO 07/31/2010		
		09/01/2010	11 Interest	0.000		\$5.27
				INTEREST ON BESSEMER SWEEP FOR PERIOD 08/01/2010 TO 08/31/2010		
			1L Dividend	16,887.000		\$3,039.66
				PFIZER INC		
		10/01/2010	11 Interest	0.000		\$5.40
				INTEREST ON BESSEMER SWEEP FOR PERIOD 09/01/2010 TO 09/30/2010		
		11/01/2010	11 Interest	0.000		\$5.58
				INTEREST ON BESSEMER SWEEP FOR PERIOD 10/01/2010 TO 10/31/2010		
		12/01/2010	11 Interest	0.000		\$4.14
				INTEREST ON BESSEMER SWEEP FOR PERIOD 11/01/2010 TO 11/30/2010		
			1L Dividend	16,887.000		\$3,039.66
				PFIZER INC		
<b>Subtractions</b>						
USD		01/07/2010	6I Fee/Commission	0.000		(\$7.29)
				CUSTODY SERVICES FEE FOR THE PERIOD ENDED 12/31/09		
		02/04/2010	6I Fee/Commission	0.000		(\$7.29)

## Cash Transaction Detail

Report dated April 14, 2011

Page 26 of 26

W. KURT SCHRADER CUSTODY

All transactions entered between 01/01/2010 and 12/31/2010

Currency code	Portfolio no	Cash date	Units	Description	Principal	Income
<b>Subtractions</b>						
USD				CUSTODY SERVICES FEE FOR THE PERIOD ENDED 01/31/10		
		03/04/2010	6I Fee/Commission	0.000		(\$7.28)
				CUSTODY SERVICES FEE FOR THE PERIOD ENDED 02/28/10		
		04/06/2010	6I Fee/Commission	0.000		(\$7.92)
				CUSTODY SERVICES FEE FOR THE PERIOD ENDED 03/31/10		
		05/06/2010	6I Fee/Commission	0.000		(\$7.92)
				CUSTODY SERVICES FEE FOR THE PERIOD ENDED 04/30/10		
		06/04/2010	6I Fee/Commission	0.000		(\$7.92)
				CUSTODY SERVICES FEE FOR THE PERIOD ENDED 05/31/10		
		07/07/2010	8I Fee/Commission	0.000		(\$8.55)
				CUSTODY SERVICES FEE FOR THE PERIOD ENDED 06/30/10		
		08/05/2010	6I Fee/Commission	0.000		(\$8.55)
				CUSTODY SERVICES FEE FOR THE PERIOD ENDED 07/31/10		
		09/07/2010	6I Fee/Commission	0.000		(\$8.55)
				CUSTODY SERVICES FEE FOR THE PERIOD ENDED 08/31/10		
		10/06/2010	6I Fee/Commission	0.000		(\$9.18)
				CUSTODY SERVICES FEE FOR THE PERIOD ENDED 09/30/10		
		11/04/2010	6I Fee/Commission	0.000		(\$9.18)
				CUSTODY SERVICES FEE FOR THE PERIOD ENDED 10/31/10		
		12/06/2010	8I Fee/Commission	0.000		(\$9.18)
				CUSTODY SERVICES FEE FOR THE PERIOD ENDED 11/30/10		
		12/29/2010	J6 Security gift/donation	(284.000)	PFIZER INC GIFT TO TRAVIS FARNSWORTH	
				(454.000)	PFIZER INC GIFT TO CLARE SCHRADER	
				(454.000)	PFIZER INC GIFT TO RYAN SCHRADER	
				(454.000)	PFIZER INC GIFT TO STEVEN SCHRADER	
				(454.000)	PFIZER INC GIFT TO MAREN SCHRADER	

## Realized Capital Gains/Losses

2010

CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adjustments	Adjusted Cost Basis	Gain/Loss
SHORT TERM GAIN/LOSS								
071813109/BAXTER INTERNATIONAL INC						0.00		
						0.00		
						0.00		
Security total:						0.00		(9,469.27)
260543103/DOW CHEMICAL						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
Security total:						0.00		(3,894.98)
26875P101/BOG RES INC						0.00		566.78
31428X106/FEDEX CORP						0.00		
						0.00		
						0.00		
						0.00		
Security total:						0.00		(500.43)
452308109/ILLINOIS TOOL WORKS INC						0.00		591.80

[REDACTED]

## 2010

(continued)

[illegible]



1. The first part of the document is a list of names and addresses. The names are: John Doe, Jane Doe, and John Doe. The addresses are: 123 Main St, 456 Main St, and 789 Main St.

2. The second part of the document is a list of names and addresses. The names are: John Doe, Jane Doe, and John Doe. The addresses are: 123 Main St, 456 Main St, and 789 Main St.

3. The third part of the document is a list of names and addresses. The names are: John Doe, Jane Doe, and John Doe. The addresses are: 123 Main St, 456 Main St, and 789 Main St.

4. The fourth part of the document is a list of names and addresses. The names are: John Doe, Jane Doe, and John Doe. The addresses are: 123 Main St, 456 Main St, and 789 Main St.

5. The fifth part of the document is a list of names and addresses. The names are: John Doe, Jane Doe, and John Doe. The addresses are: 123 Main St, 456 Main St, and 789 Main St.

1

## Realized Capital Gains/Losses

2010

(continued)

CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adjustments	Adjusted Cost Basis	Gain/Loss
Sub-total short term gain/loss:								(14,238.65)
LONG TERM GAIN/LOSS								
025816109/AMERICAN EXPRESS						0.00		
Security total:						0.00		(627.83)
151020104/CELGENE CORP						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
Security total:						0.00		11,360.33
17275R102/CISCO SYSTEMS INC						0.00		
						0.00		
						0.00		
						0.00		
Security total:						0.00		5,954.94
200100/CLASS ACTION								

## 2010

(continued)

CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adjustments	Adjusted Cost Basis	Gain/Loss
<b>LONG TERM GAIN/LOSS</b>								
200100/CLASS ACTION (Con't)								
Security total:								325.10
219350105/CORNING INC						0.00		
						0.00		
						0.00		
Security total:						0.00		7,436.89
268648102/EMC CORP						0.00		
								1,858.84
26875P101/EOG RES INC						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
Security total:						0.00		13,320.95
40785HE9/HAMILTON SORAST CONS SBC 4.85% 01/01/10								0.00
460146103/INTERNATIONAL PAPER						0.00		
						0.00		
						0.00		

## Realized Capital Gains/Losses

2010

(continued)

CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adjustments	Adjusted Cost Basis	Gain/Loss
LONG TERM GAIN/LOSS								
460146103/INTERNATIONAL PACER (Con't)						0.00		
Security total:						0.00		(3,001.85)
478160104/JOHNSON & JOHNSON						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
Security total:						0.00		201.05
548661107/LOWES COS INC						0.00		1,775.08
597854CD8/MIDLOTHIAN WTR DIST TEXAS 5 1/8% 09/01/13								3,855.31
680414703/OLD WESTBURY REAL RETRN FD						0.00		
						0.00		
						0.00		
Security total:						0.00		(12,524.32)
680414802/OLD WESTBURY GL OPPTIES FD						0.00		(10,181.73)
74144T108/T ROWE PRICE GROUP INC						0.00		

## Realized Capital Gains/Losses

2010

(continued)

CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adjustments	Adjusted Cost Basis	Gain/Loss
LONG TERM GAIN/LOSS								
74144T108/T ROWE PRICE GROUP INC (Con't)								
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
Security total:						0.00		4,270.46
742718109/PROCTER & GAMBLE CO								
						0.00		
						0.00		
						0.00		
Security total:						0.00		(1,940.93)
855030102/STAPLES INC								
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
						0.00		
Security total:						0.00		(4,138.50)
883556102/THERMO FISHER SCIENTIFIC								

## Realized Capital Gains/Losses

2010

(continued)

CUSIP/Security Description Quantity Date Acquired Date Sold	Sale Proceeds	Original Cost Basis	Adjustments	Adjusted Cost Basis	Gain/Loss
LONG TERM GAIN/LOSS					
883556102/THOMAS FISHER SCIENTIFIC (Con't)			0.00		
			0.00		
			0.00		
			0.00		
			0.00		
			0.00		
			0.00		
			0.00		
Security total:			0.00		3672.10
907818108/UNION PACIFIC CORP			0.00		
			0.00		
			0.00		
Security total:			0.00		8,201.47
931142103/WAL-MART STORES INC			0.00		
			0.00		
Security total:			0.00		1,873.16
952433CP3/WEST FLORE SCH DIST WISC 5 10/01/11					0.00
H27013103/WEATHERFORD INTL LTD			0.00		
			0.00		
			0.00		
			0.00		
Security total:			0.00		(1,759.53)

## Realized Capital Gains/Losses

2010

(Continued)

CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adjustments	Adjusted Cost Basis	Gain/Loss
LONG TERM GAIN/LOSS								
H89128104/TYCO INTL LTD NEW								
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	0.00	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	0.00	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	0.00	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	0.00	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	0.00	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	0.00	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	0.00	[REDACTED]	[REDACTED]
Secur	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	0.00	[REDACTED]	2,066.11
Sub-total long term gain/loss:				[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	37,997.49
Grand total gains/losses:				[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	19,758.84